

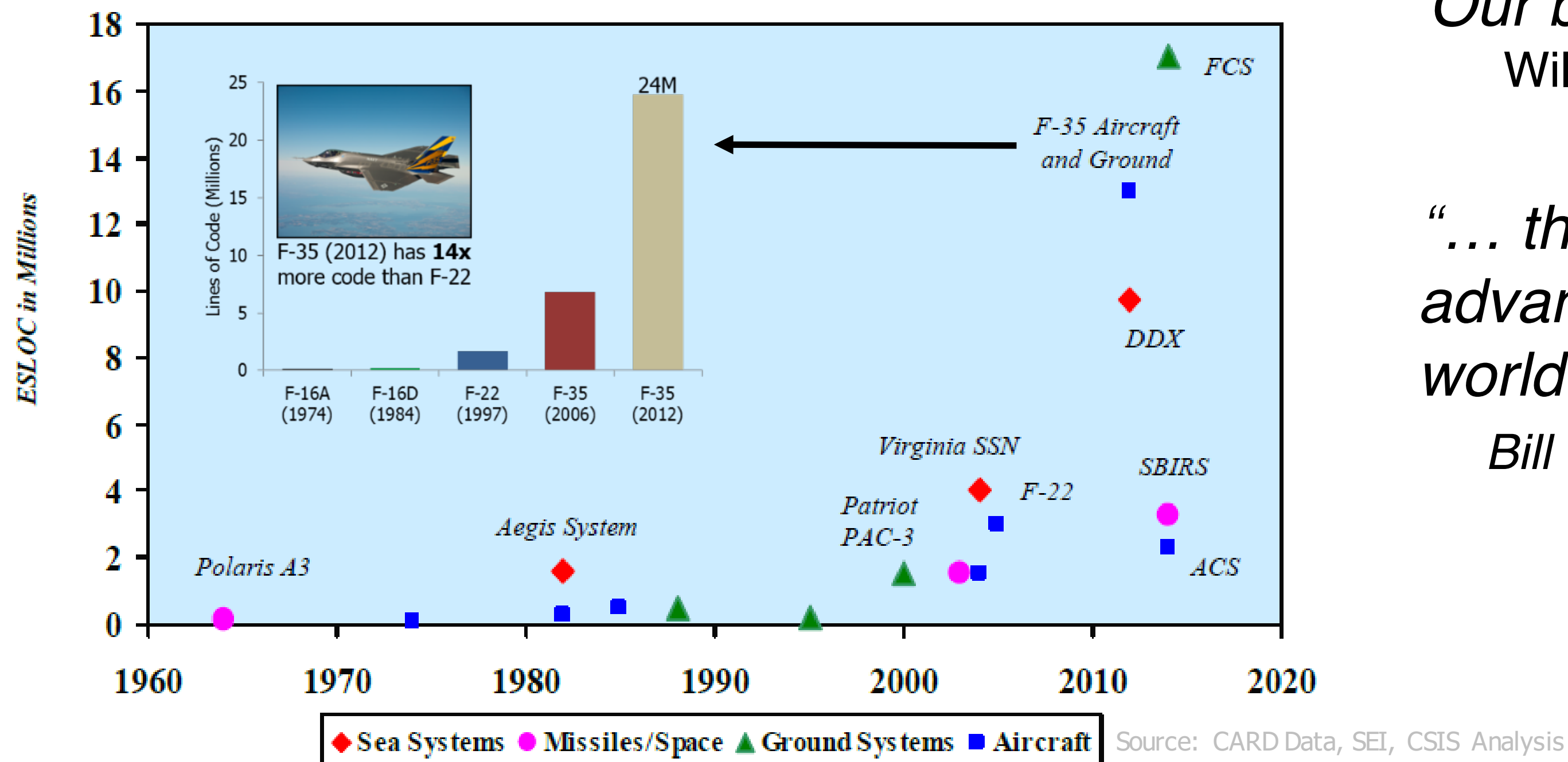
# Learning for Automated Synthesis and Verification

Suresh Jagannathan



# The Context

Software Content of Sample Major DoD Weapon Systems 1960 - 2020



*“Our big issue is software ...”*

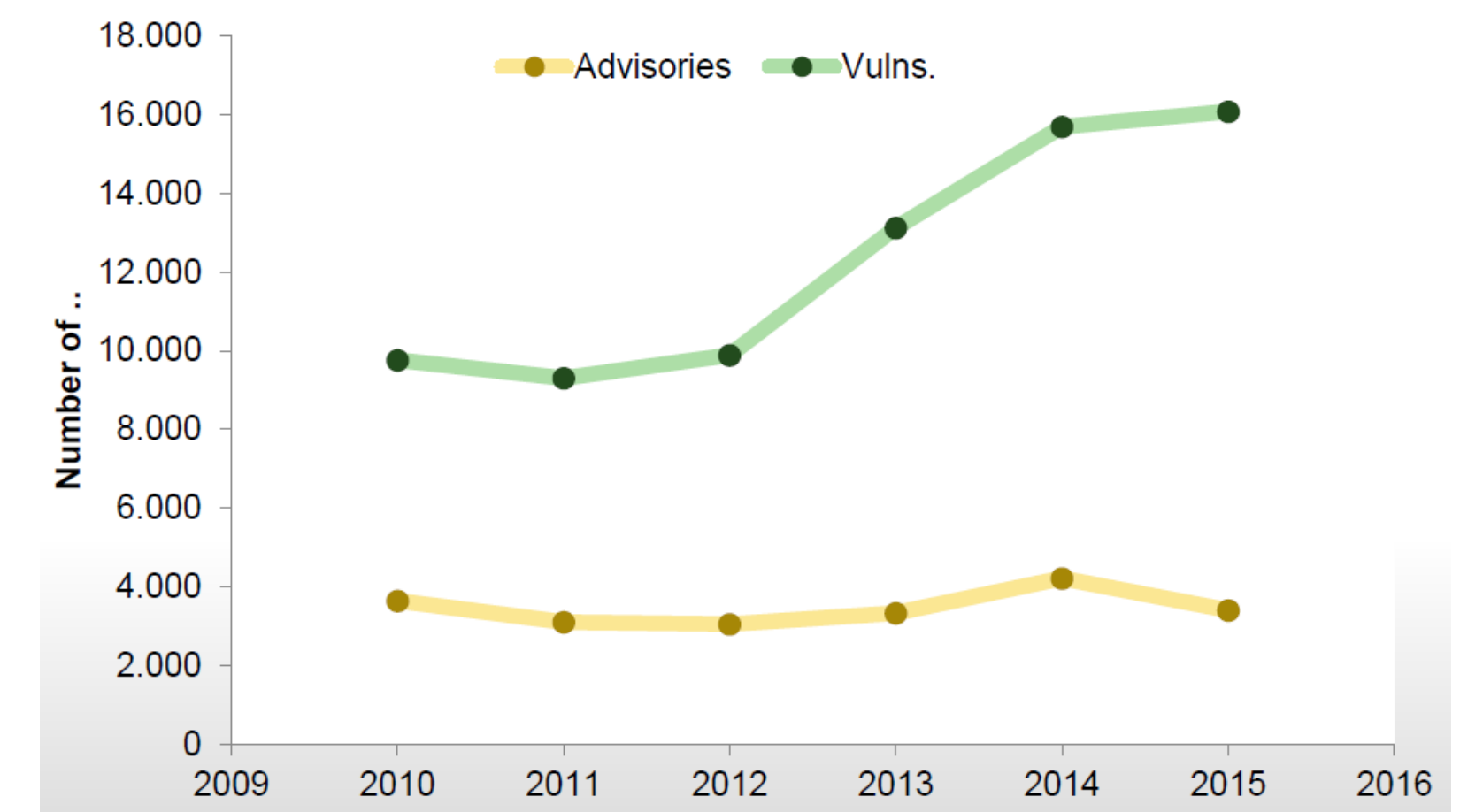
William Roper, Air Force Undersecretary for Acquisitions

*“... the services have largely failed to take advantage of an emerging "software-defined world.”*

Bill Chappel, Director MTO, DARPA

*Nearly every U.S. weapons program tested in fiscal 2014 showed “significant vulnerabilities” to cyber attacks, including misconfigured, unpatched and outdated software.*

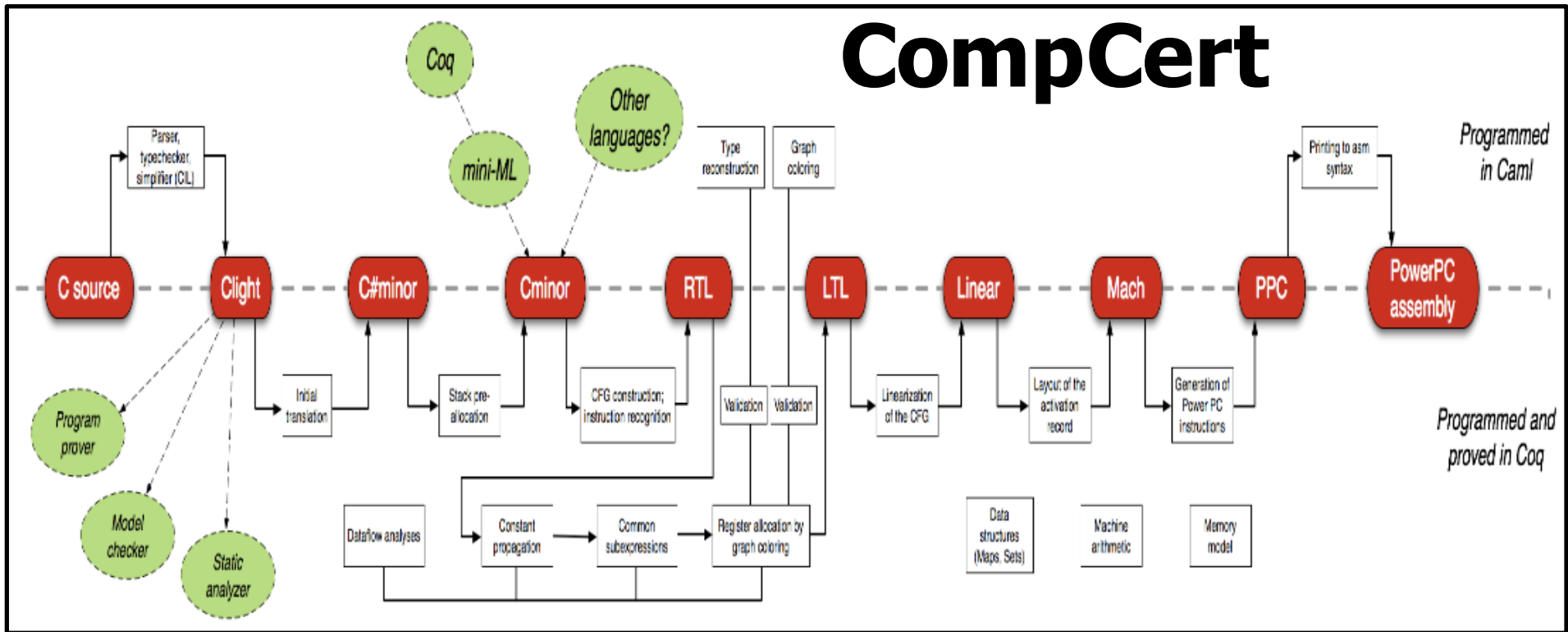
Pentagon Chief Weapon’s Tester Report



Vulnerability History

- 2,484 applications from 263 vendors
- 40% Microsoft applications and 60% non-Microsoft applications in Windows operating systems

# Trustworthiness through First Principles



8KLOC, 50K LoP



8.5KLOC, 200K LoP



100K LoC; 30K LoP  
(Multi-million dollar effort over 5 years)

*... demonstrates feasibility of defining provably correct mission-critical kernels, but what about systems at scale?*

# *Strategies*



HACMS



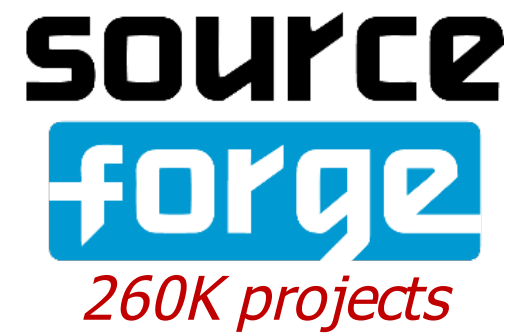
MUSE



# Trends



>2.1M repositories



>10M LoC  
(open source)



250 projects



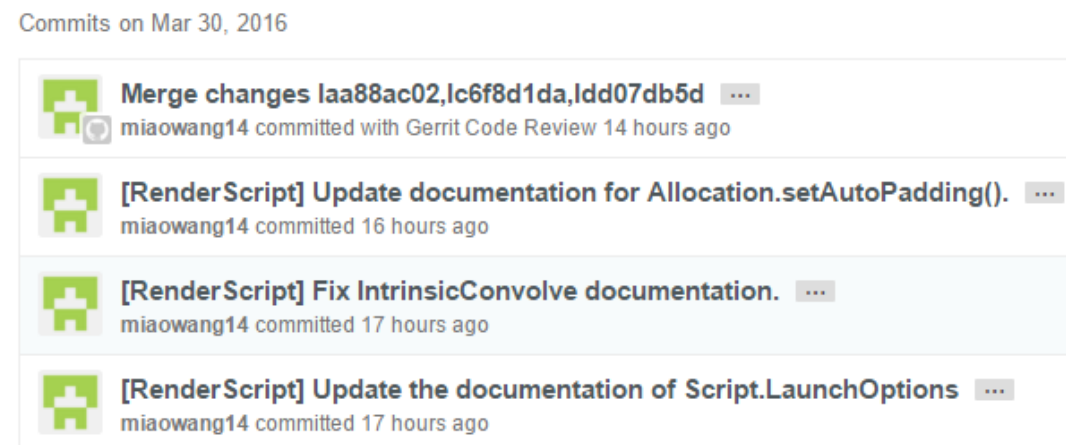
108K repositories



28.5K projects



9.5K projects



30K projects



250K projects



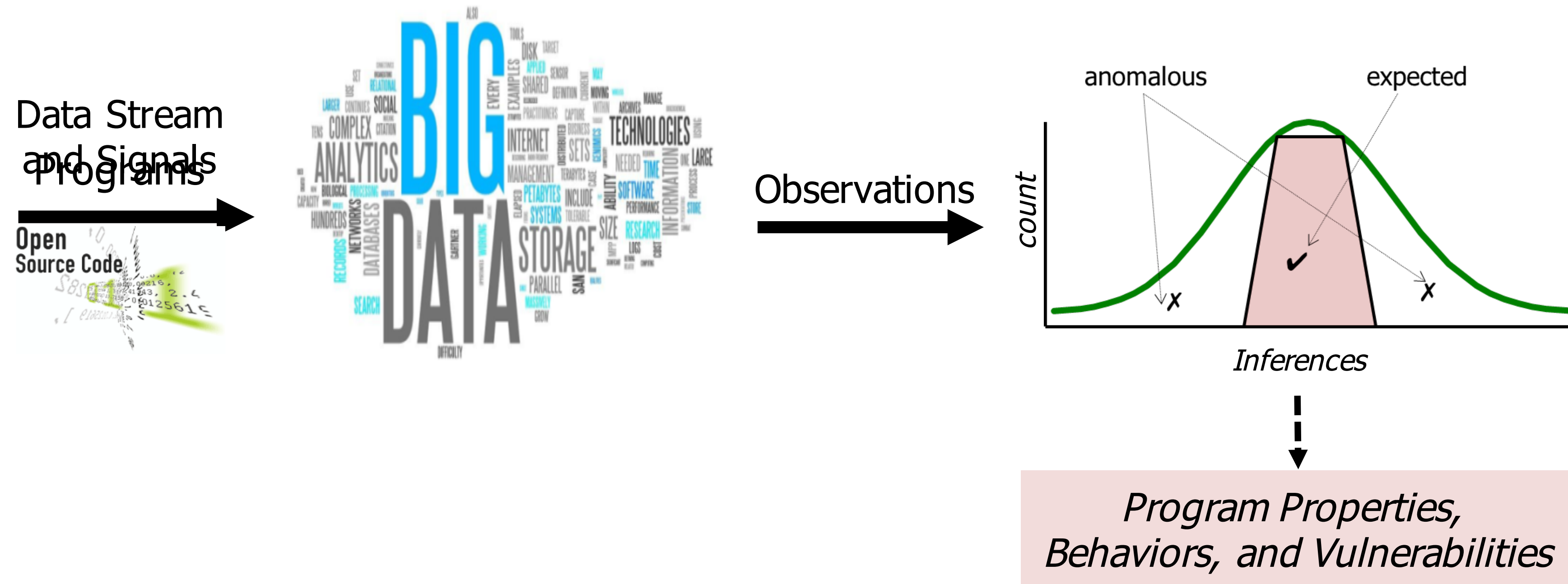
Navy's newest warship  
(USS Zumwalt) runs on  
Linux

35% of all DoD systems use  
open source software

The Pentagon is set to make a big push toward  
open source software next year

*The Pentagon is a software-intensive workplace*

# The Idea

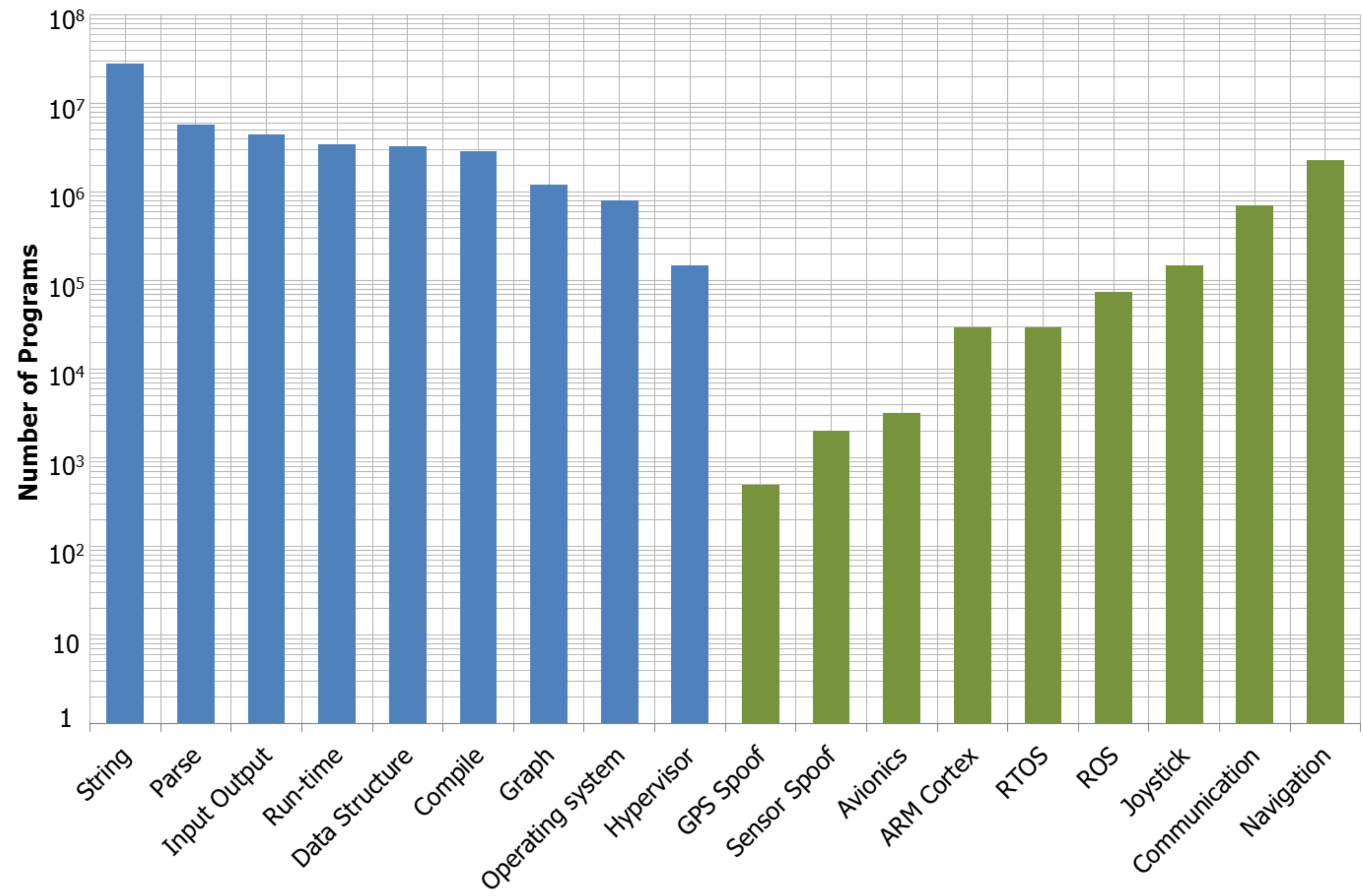


- Treat programs (more precisely, semantic objects extracted from programs) as data
- Observations and inferences applied to program properties

Supervised setting: program repair

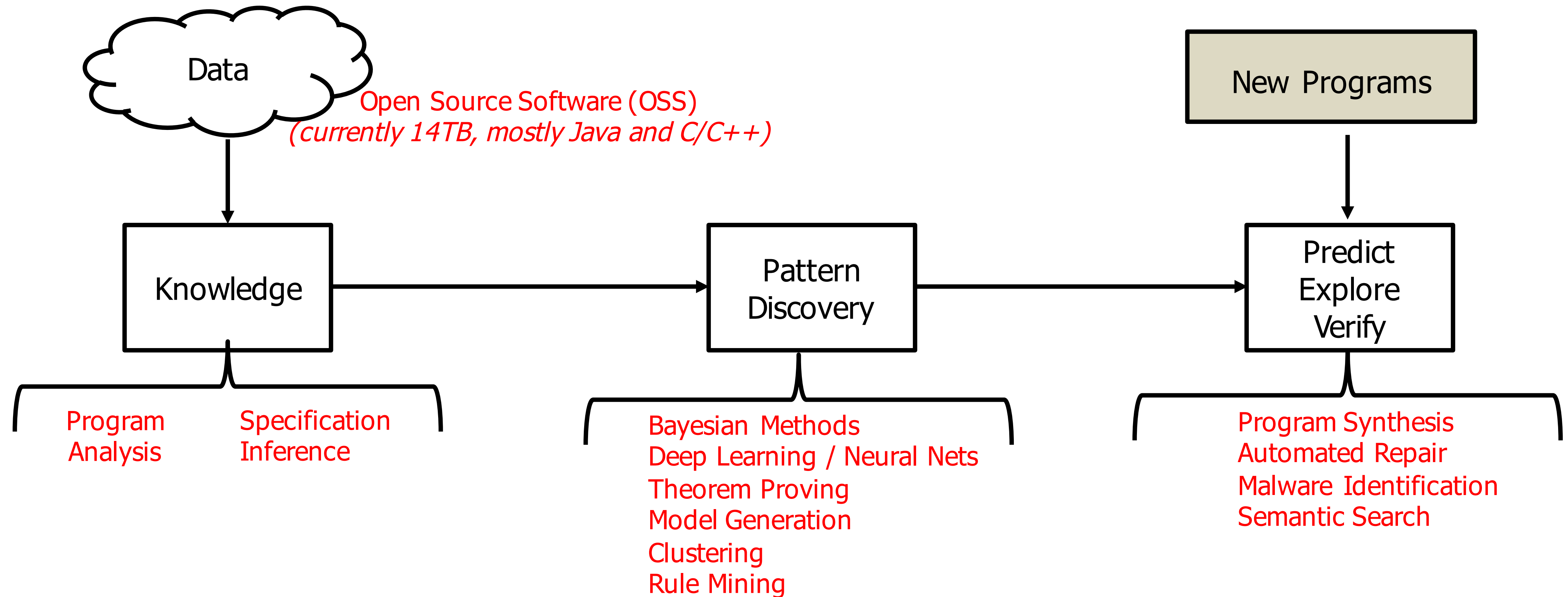
Semi- or Un-supervised setting: program synthesis

# Rationale



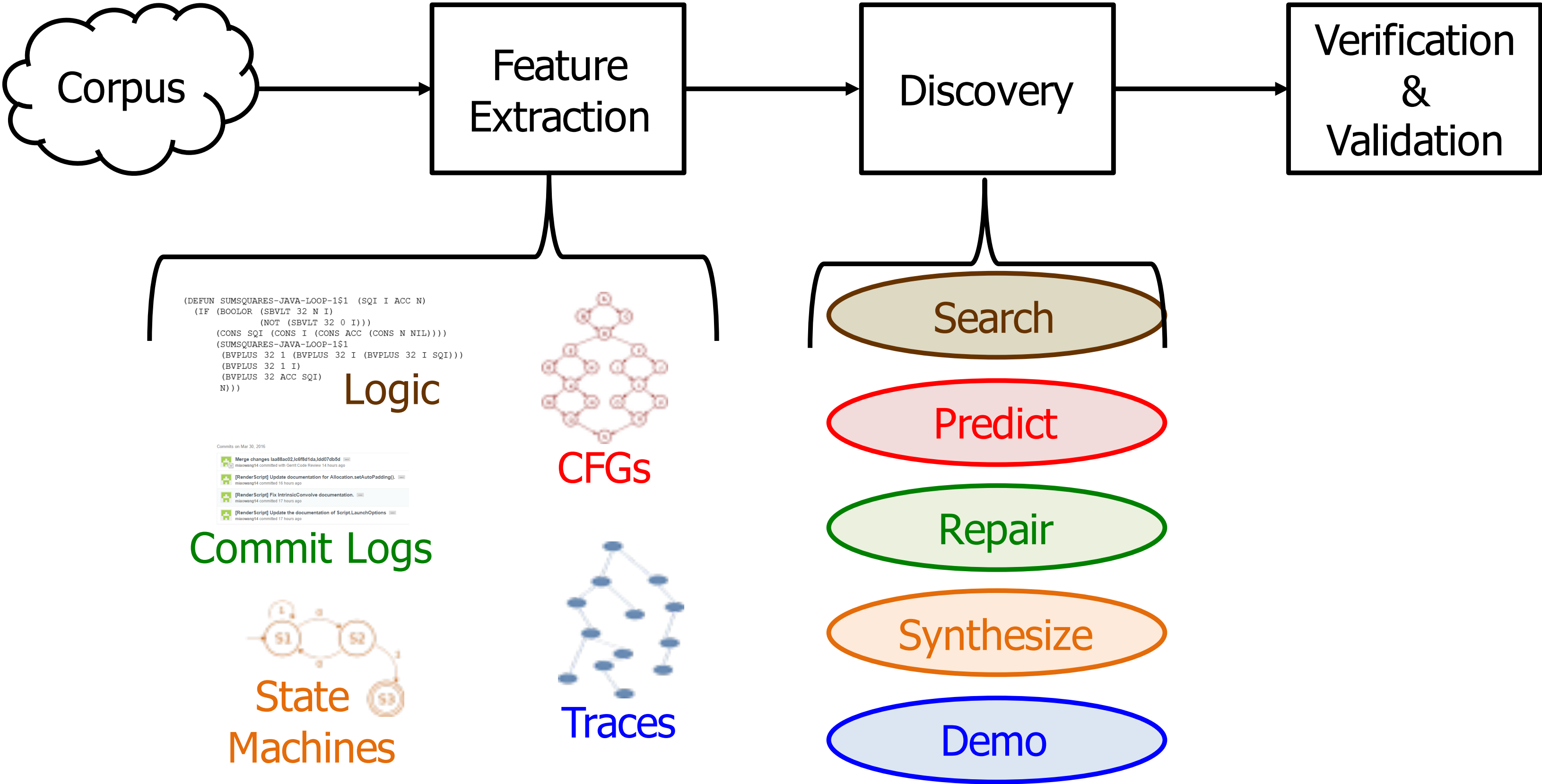


# MUSE - Mining and Understanding Software Enclaves

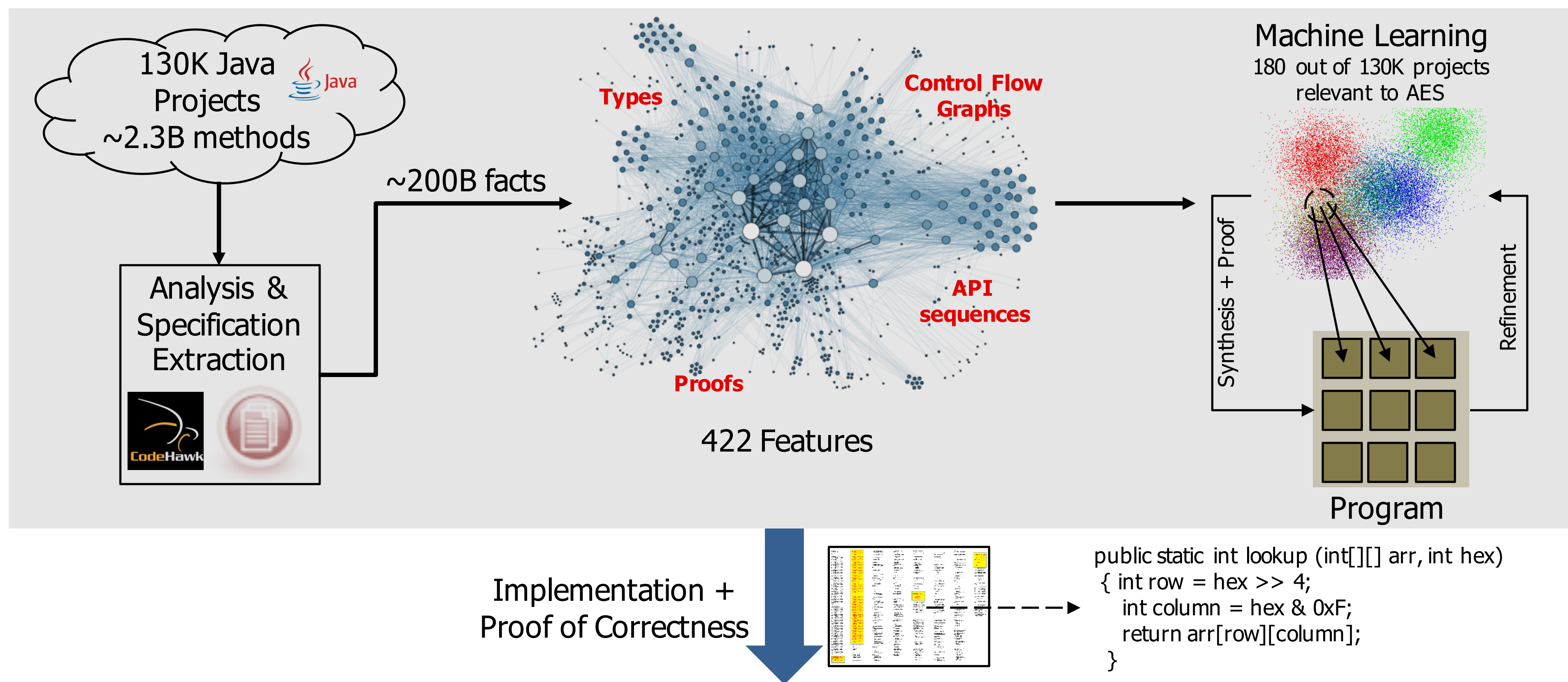
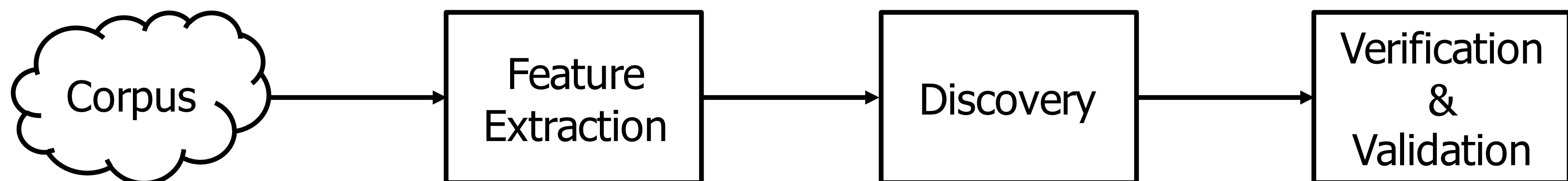




# Structure



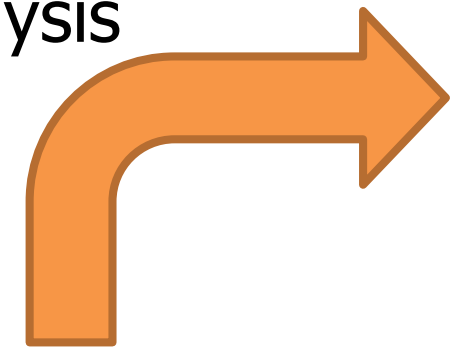
# Specification-Driven Synthesis Using Big Code



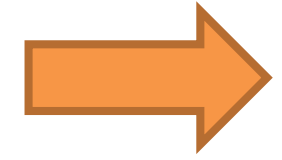
# Analysis



few (small) methods;  
expensive analysis

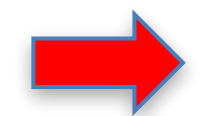


**invariants:**  
i < arraylength(a)  
j >= minX + 1  
.....



**LIFTER**

Static Analysis  
Via  
Abstract  
Interpretation

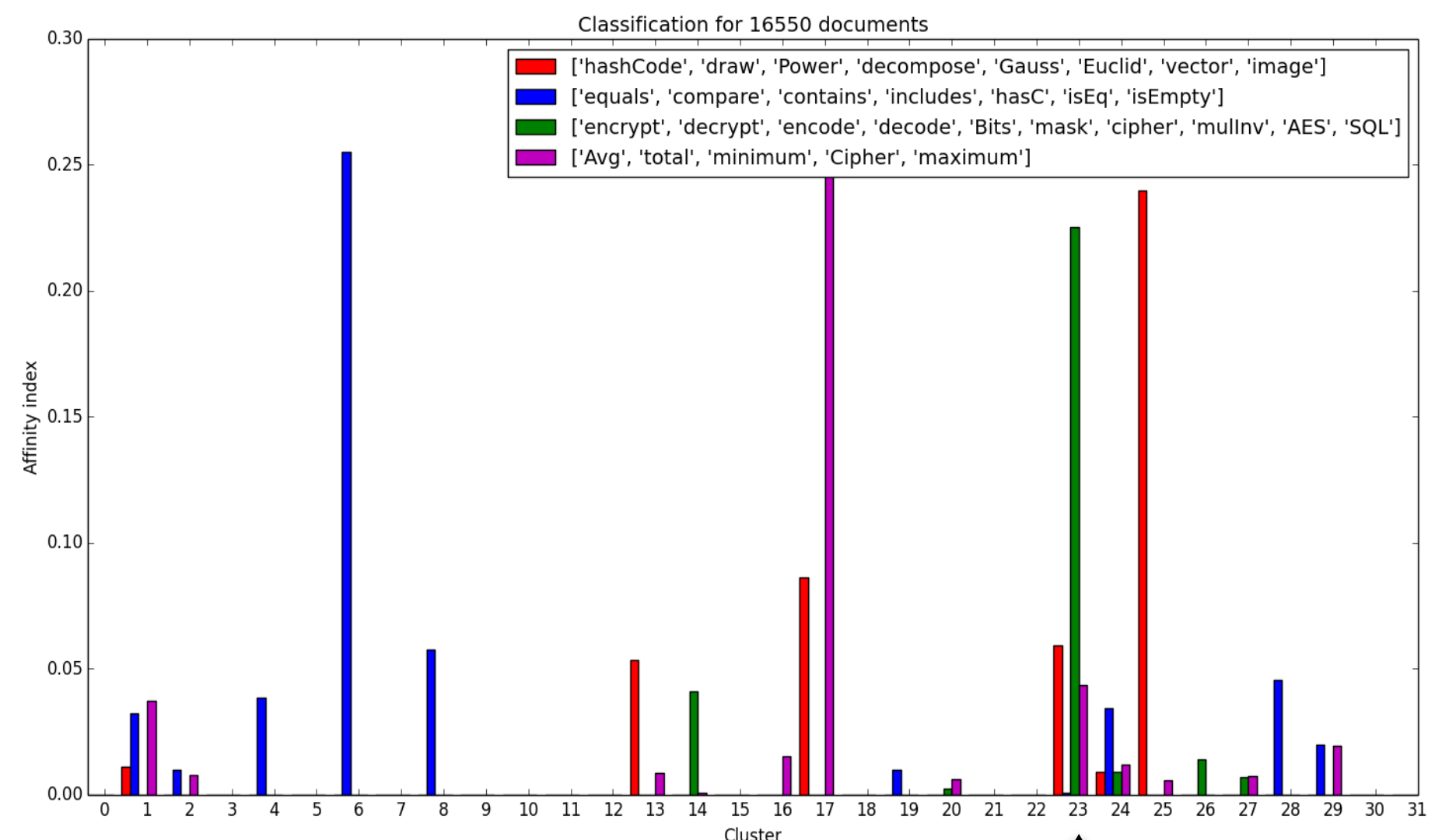
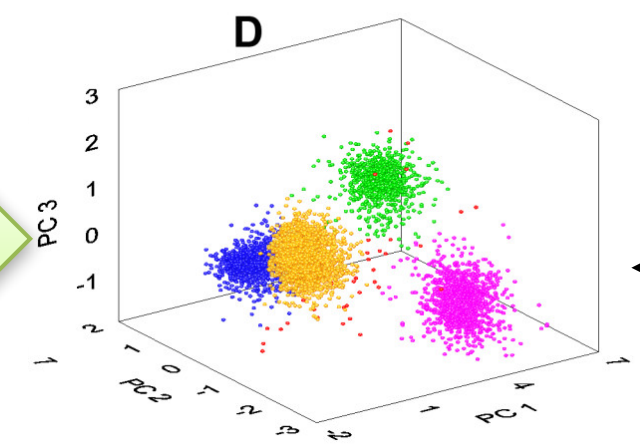
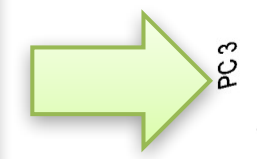


100 million methods  
cheap analysis



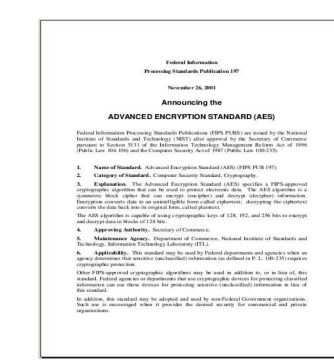
> 400 feature classes

**features:**  
bc frequencies  
k-subgraphs  
library calls  
.....

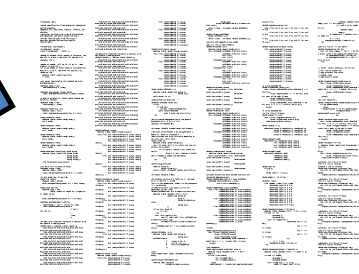
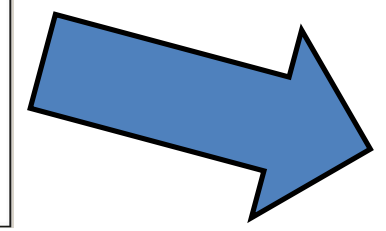


Cluster 23 seems to contains a lot of crypto code.

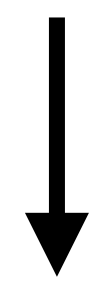
## Specification



Produce a formalization  
of the AES standard



```
...  
(defun aes-128-encrypt (plaintext key)  
  (let ((nk 4)) ; four 32-bit words  
    (cipher plaintext (keyexpansion key nk) nk)))  
...
```



## Refinement

SmallK - dimensionality reduction via non-negative matrix factorization



# Verification

Proved correct for 128/192/256-bit encryption/decryption.

“Correct” means the ciphertext bits exactly match the formal spec, for all  $2^{256}$  possible inputs (key + plaintext).

Turn spec and implementation into mathematical terms

- Symbolically execute code (2,880 JVM instructions, 2.2M simplifications, 13 seconds)
- Unroll recursion in spec
- Unrolled code is  $\sim 100$  billion nodes (spec is  $\sim 10^{26}$ )
- Must share common subterms!

Apply semantic equivalence checker to prove correspondence

# Synthesis

*Synthesize novel implementations using pieces from the corpus*

Example: replace the Galois Field operation in one AES implementation (2abc6c6a-f84a-4e54-9da9-6a13ef25b9d8) with an optimized version from another project (dcee2208-f0ec-4796-adb5-9bde1d25c07f)

- Mix and match to get best performance
- Prove the resulting hybrid implementation correct



Invariant Inference

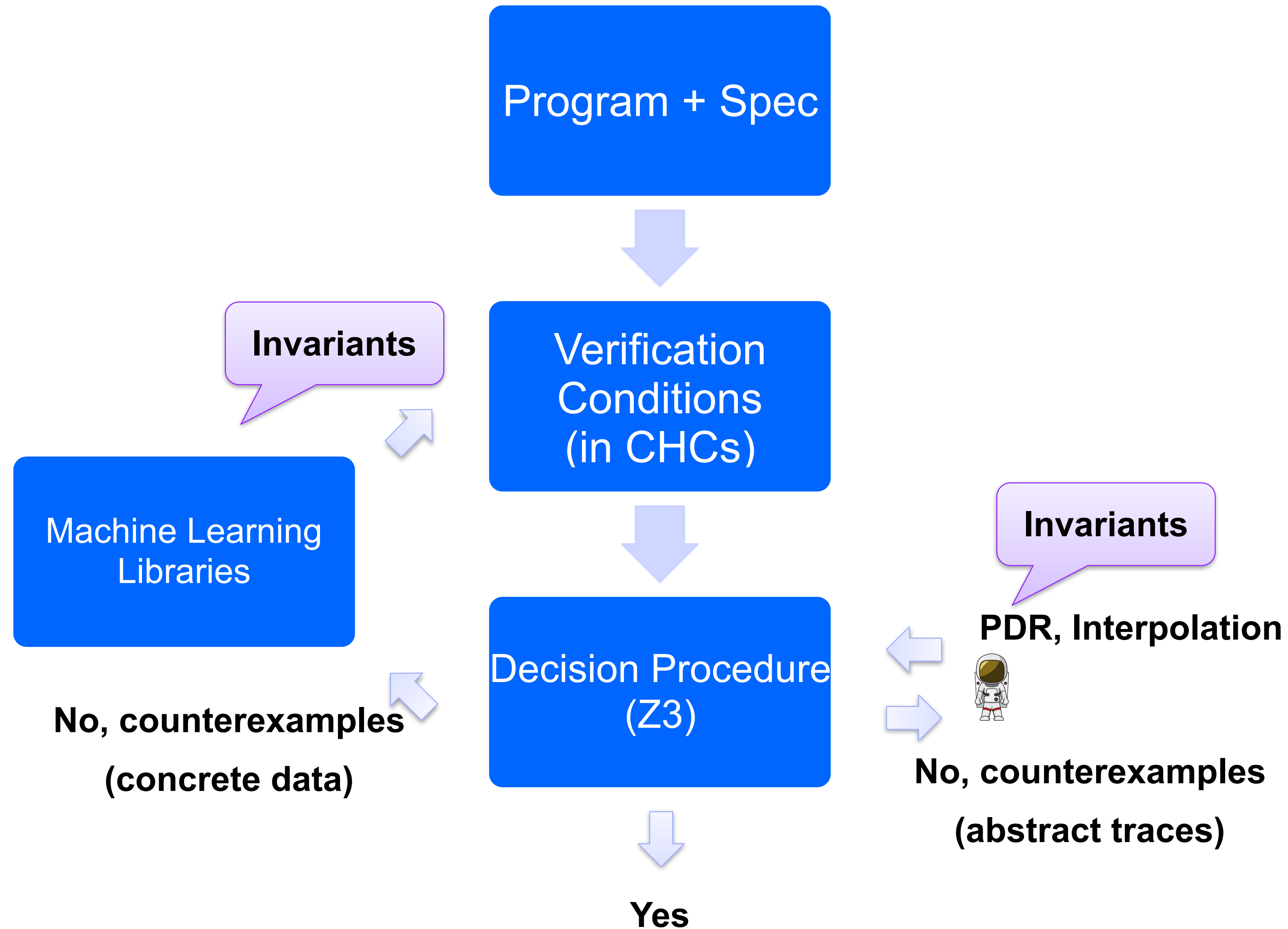
*SynthHorn: A Data-Driven ~~CHC~~ Solver*

Verification condition (VC)

```
graph TD; A[Invariant Inference] --> C[CHC]; B[Verification condition (VC)] --> C;
```

Joint work with He Zhu and Stephen Magill

# Automatic Program Verification



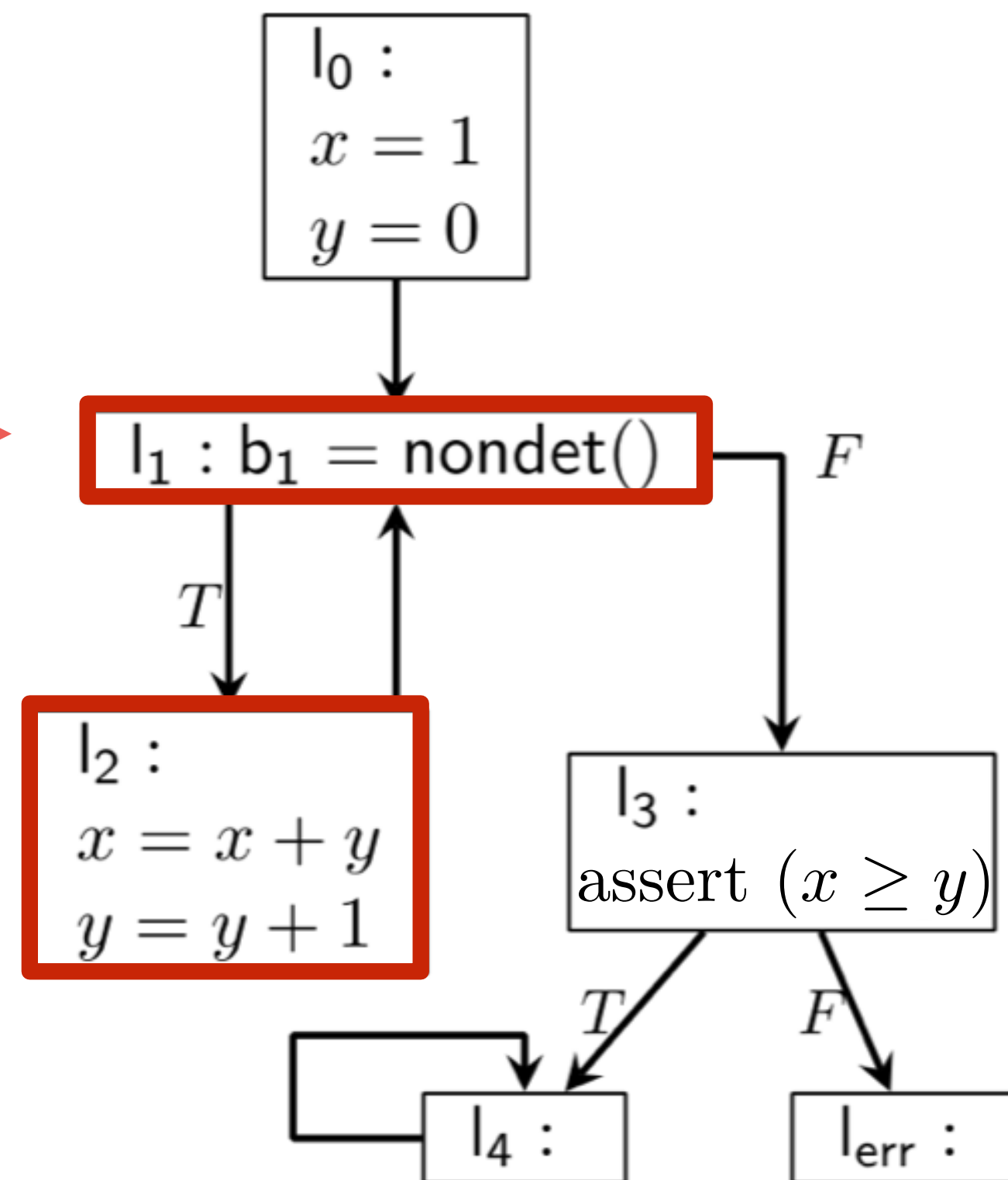
# The Context

## Program

```
main() {  
  int x = 1;  
  int y = 0;  
  while (*) {  
    x = x + y;  
    y = y + 1;  
  }  
  assert (x >= y)  
}
```

$p(x, y)$

## CFG



## VCs

$x = 1 \wedge y = 0 \rightarrow p(x, y)$  **Induction**

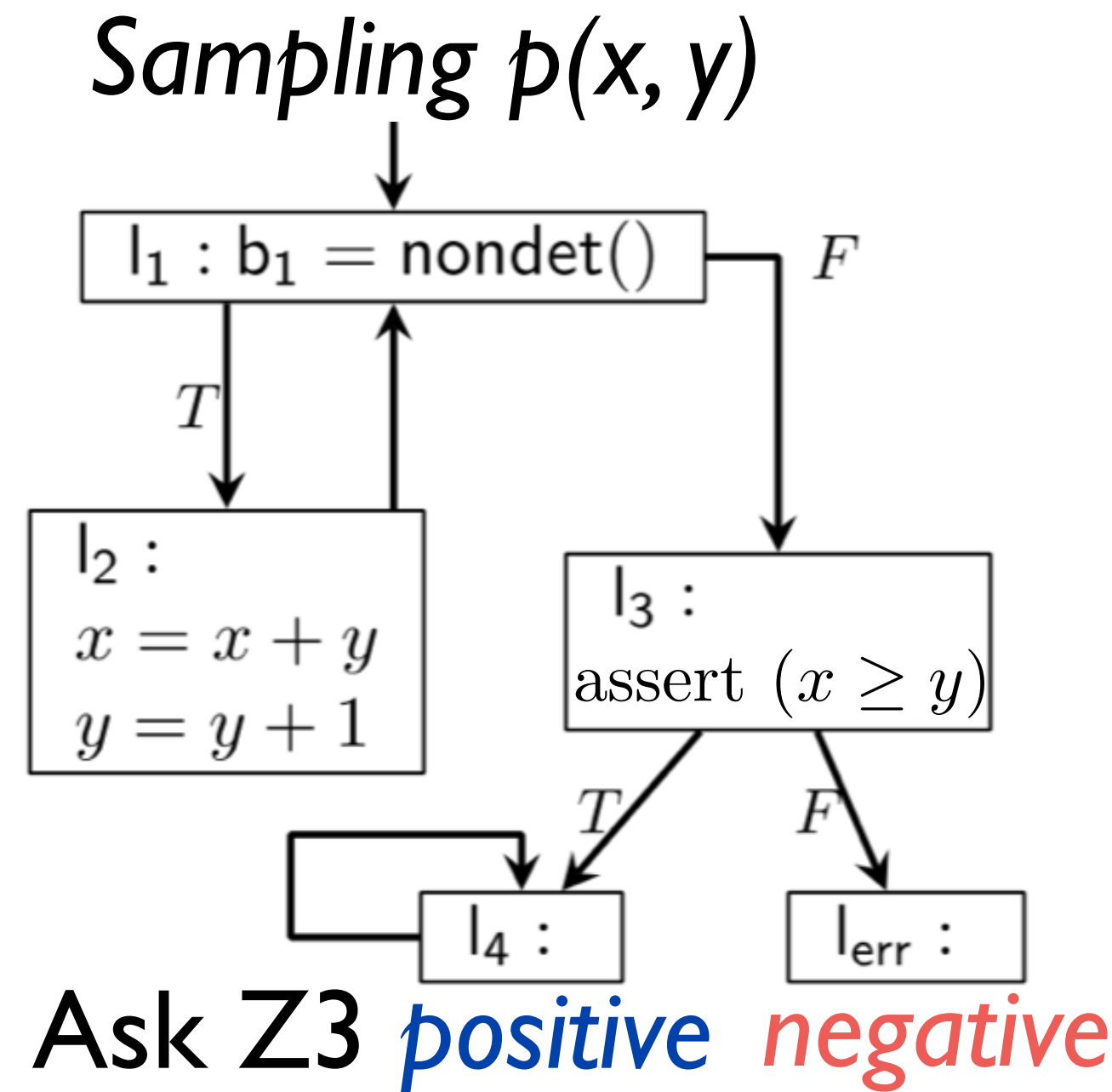
$p(x, y) \wedge x' = x + y \wedge y' = y + 1 \rightarrow p(x', y')$

$p(x, y) \wedge x' = x + y \wedge y' = y + 1 \rightarrow x' \geq y'$

$x = 1 \wedge y = 0 \rightarrow x \geq y$

Spacer fails in  
this particular case

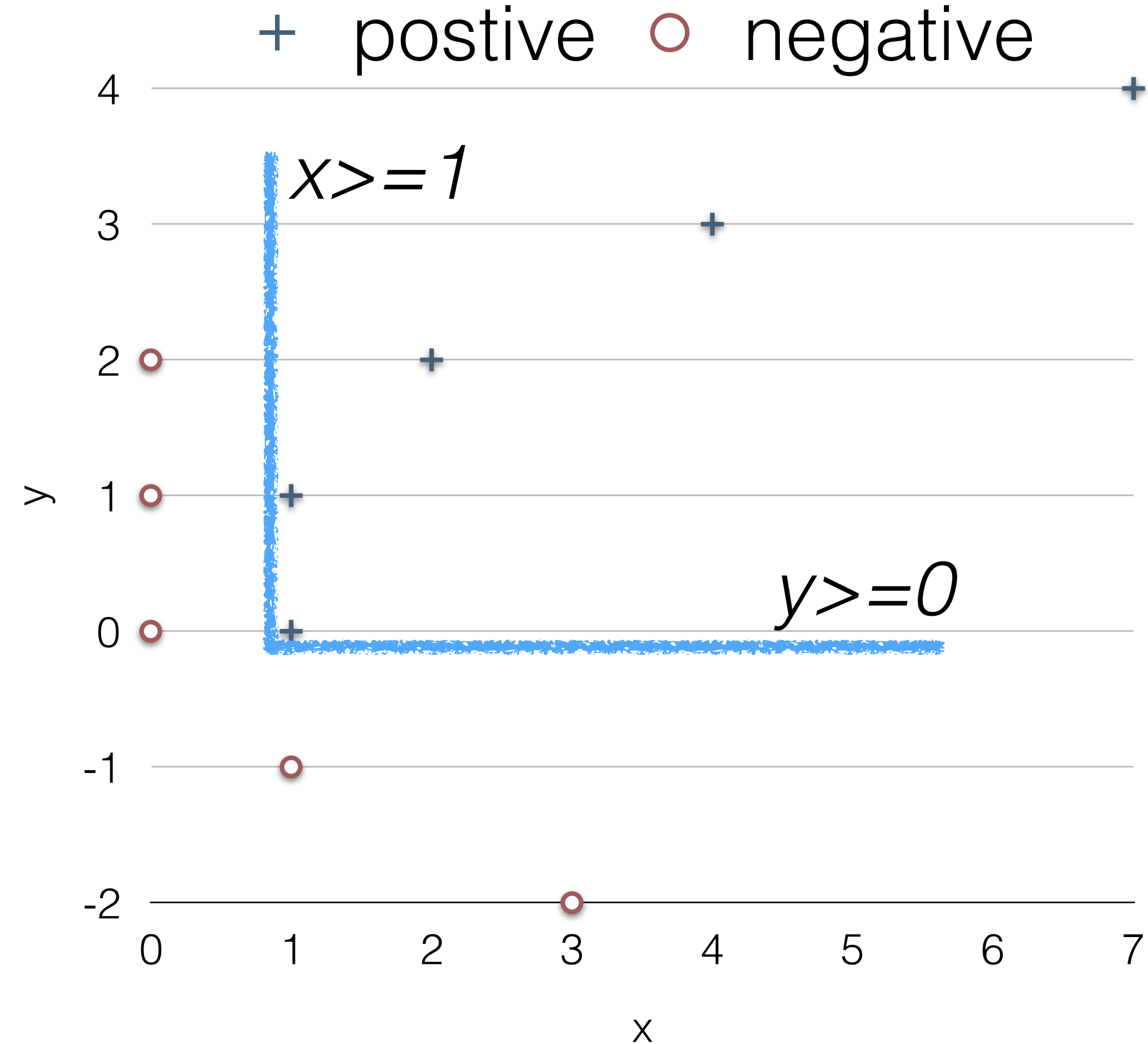
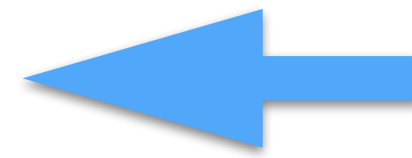
# Data-Driven Invariant Inference



$p(1,0), p(1,1), \dots$   
 $p(0,1), p(0,2), \dots$

$$p(x, y) \equiv \{x \geq 1 \wedge y \geq 0\}$$

*classification*





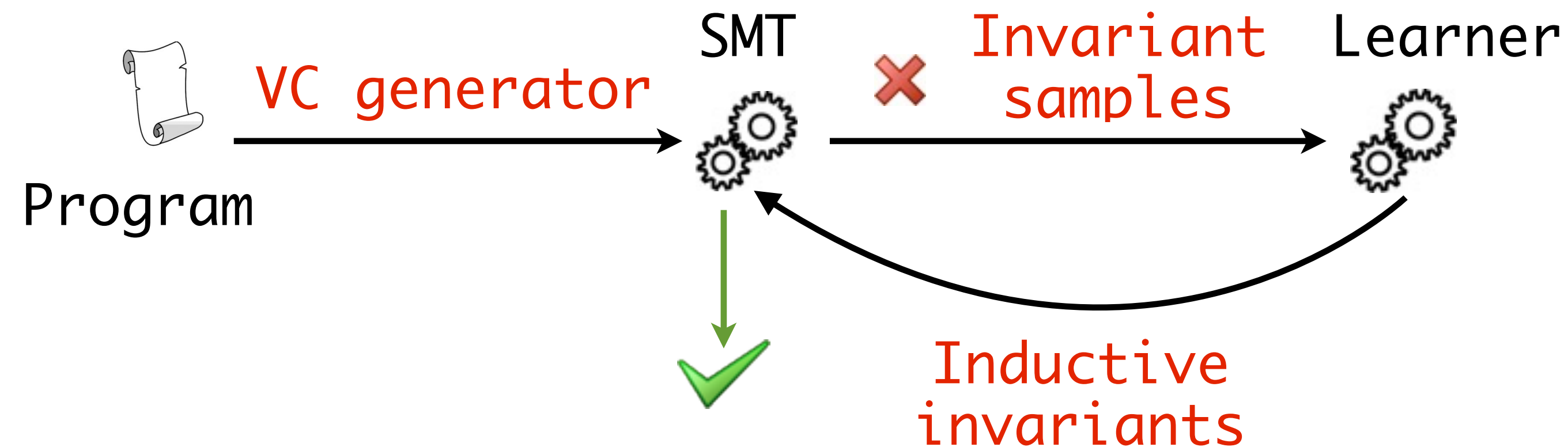
# Data-Driven Invariant Inference

## Vision:

An inductive invariant can be discovered from data

Goal: Design a learner to learn *inductive* invariants from data

SynthHorn work flow:



# Hypothesis Domain

A *Machine Learning* Technique for  
invariants of *arbitrary Boolean combination*  
of *arbitrary linear arithmetic* predicates.

$$\bigvee_i \bigwedge_j \mathbf{w}_{ij}^T \cdot \mathbf{x}_{ij} + b_{ij}$$

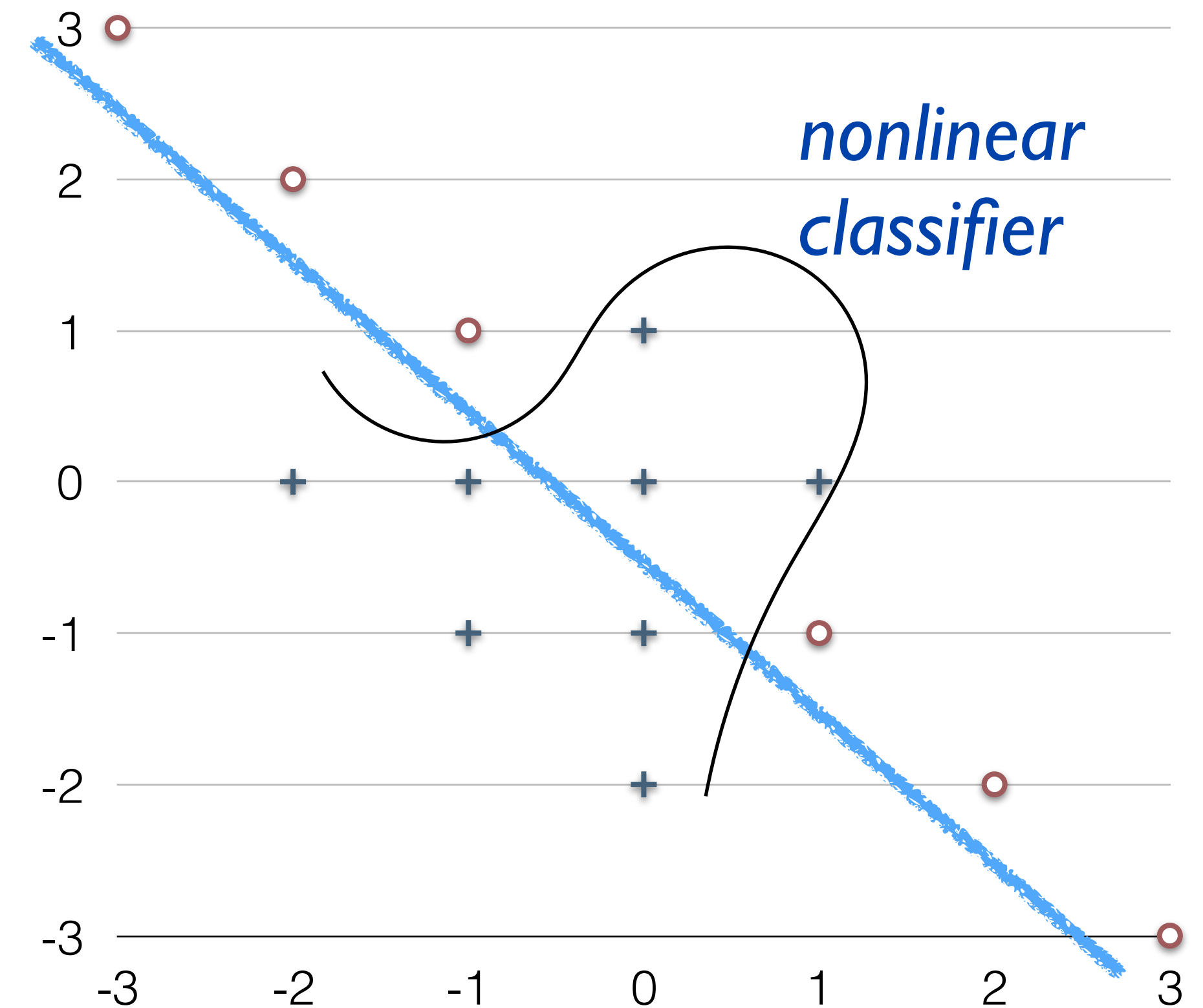
*can potentially support other domains (e.g. heap)*

# Learning Invariants from Data ...

```
main() {  
  int x, y;  
  x = 0; y = *;  
  while (y != 0) { // p(x,y)  
    if (y < 0) {x--; y++;}  
    else {x++; y--;}  
    assert (x != 0);  
  }  
}
```

Sampling  $p(x, y)$

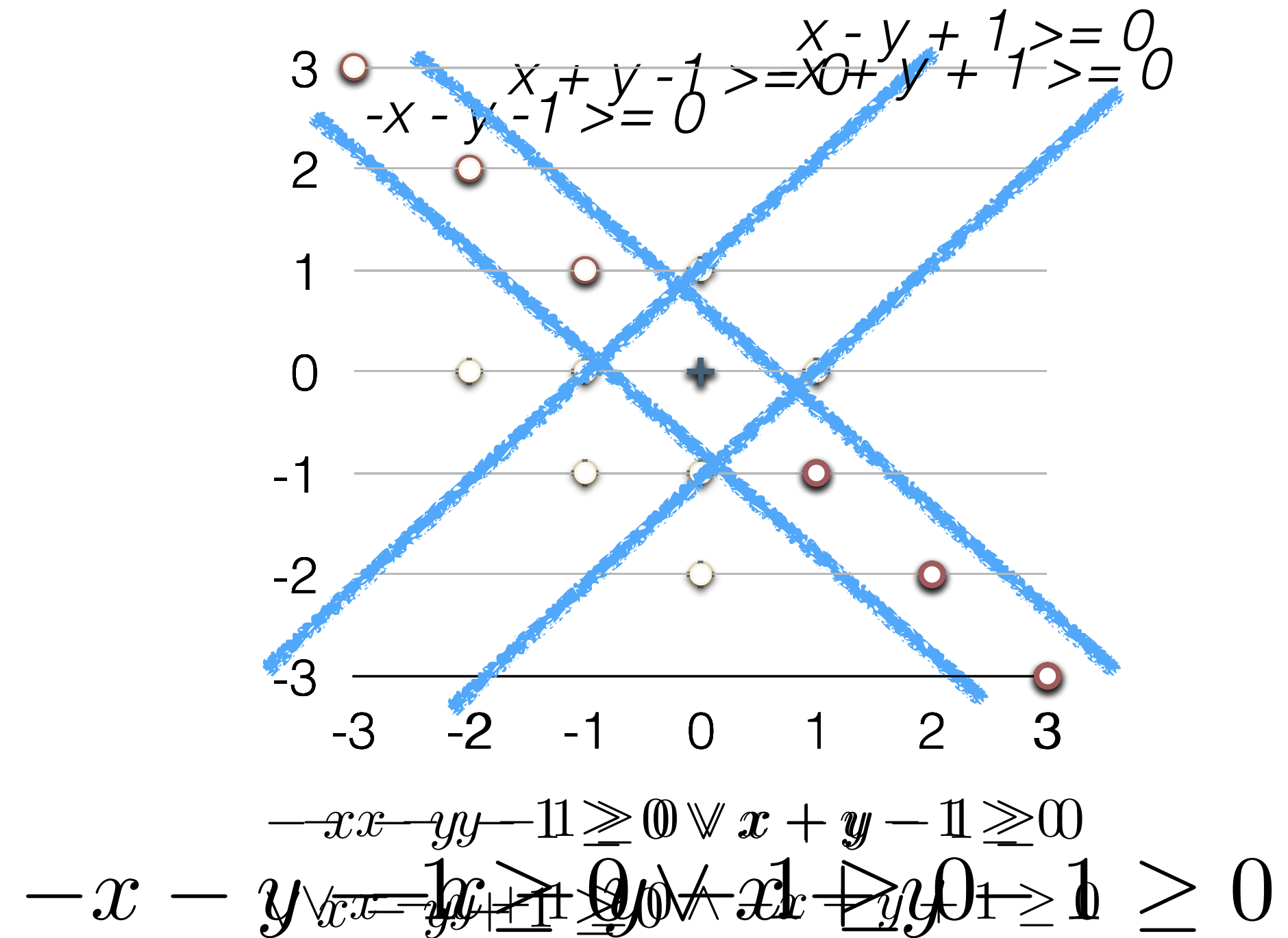
$p(1,0)$   $p(1,1)$   $p(2,2)$   $p(4,3)$   $p(7,4)$   
→  
 $p(3,-2)$   $p(1,-1)$   $p(0,0)$   $p(0,1)$   $p(0,2)$



- First take: use linear classification (SVM, Perceptron, Logistic Regression).
- But, there is a tension between Machine Learning and Verification: Generality vs. Safety.

# Learning Arbitrarily Shaped Invariants ...

Given the data,



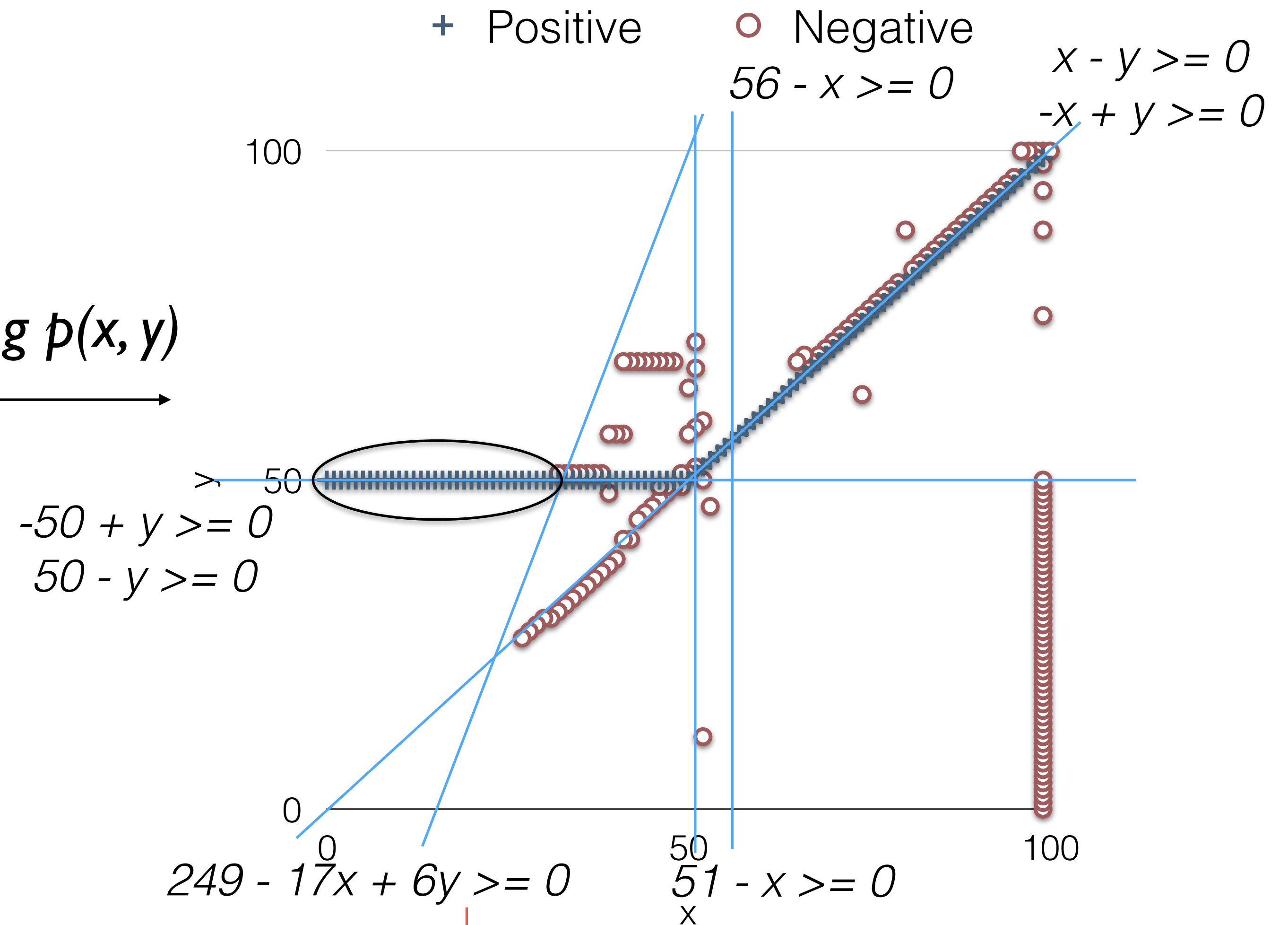
- Generality: Call linear classification by leveraging its ability to infer high quality classifiers even from data that are not linearly separable.
- Safety: Call linear classification recursively until all samples are correctly separated.
- SynthHorn: Combine Generality and Safety together!



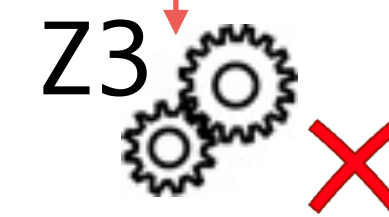
# Combating Over- and Under-fitting

```
main() {
  int x, y;
  x = 0; y = 50;
  while (x < 100) { // p(x,y)
    x = x + 1;
    if (x > 50) {y = y + 1;}
  }
  assert (y == 100);
}
```

Sampling  $p(x, y)$



✗  $56 - x \geq 0 \wedge (249 - 17x + 6y \geq 0 \vee -50 + y \geq 0 \wedge 50 - y \geq 0 \wedge$   
 $51 - x > 0 \vee x - y \geq 0 \wedge -x + y \geq 0) \vee x - y \geq 0 \wedge -x + y \geq 0$



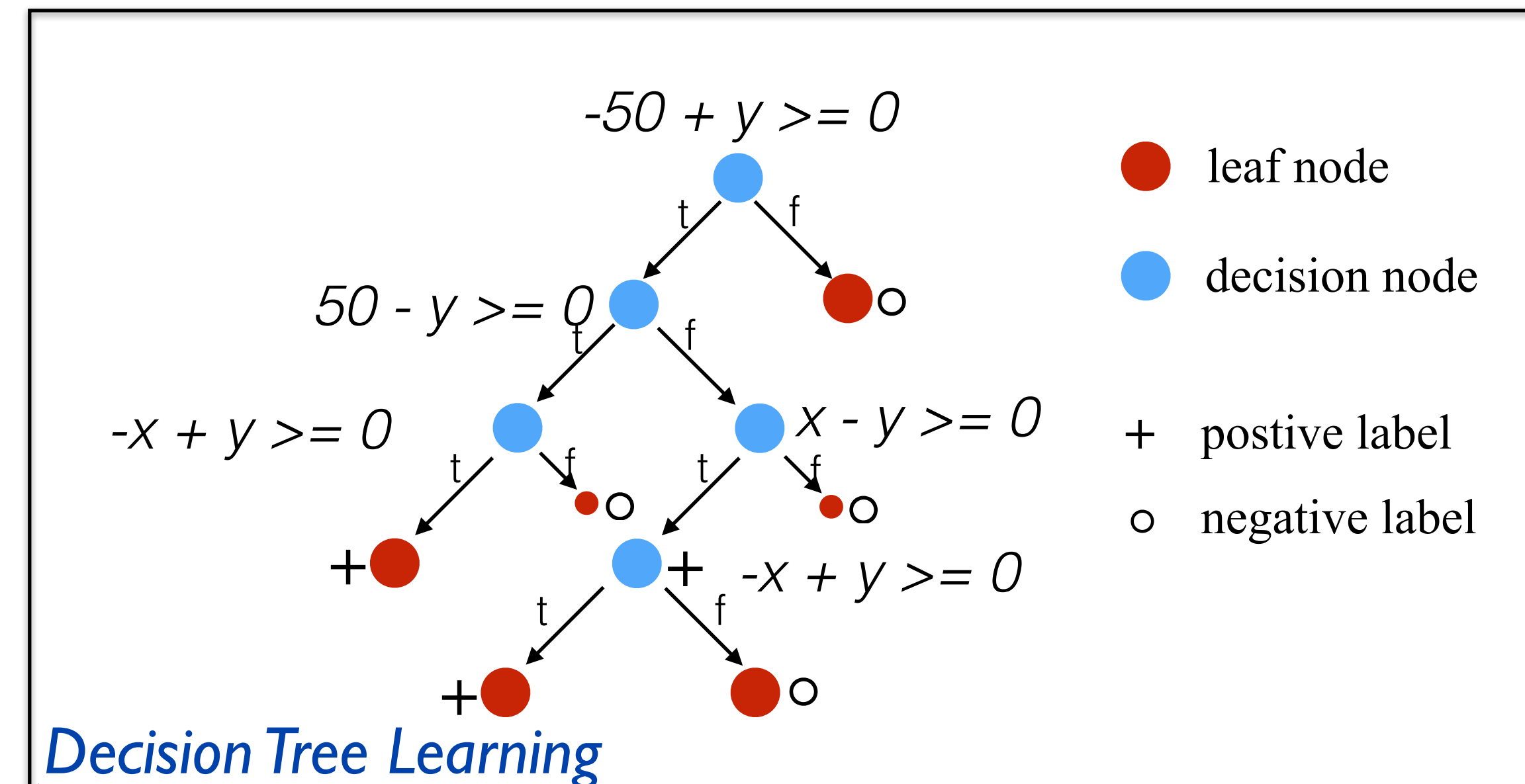
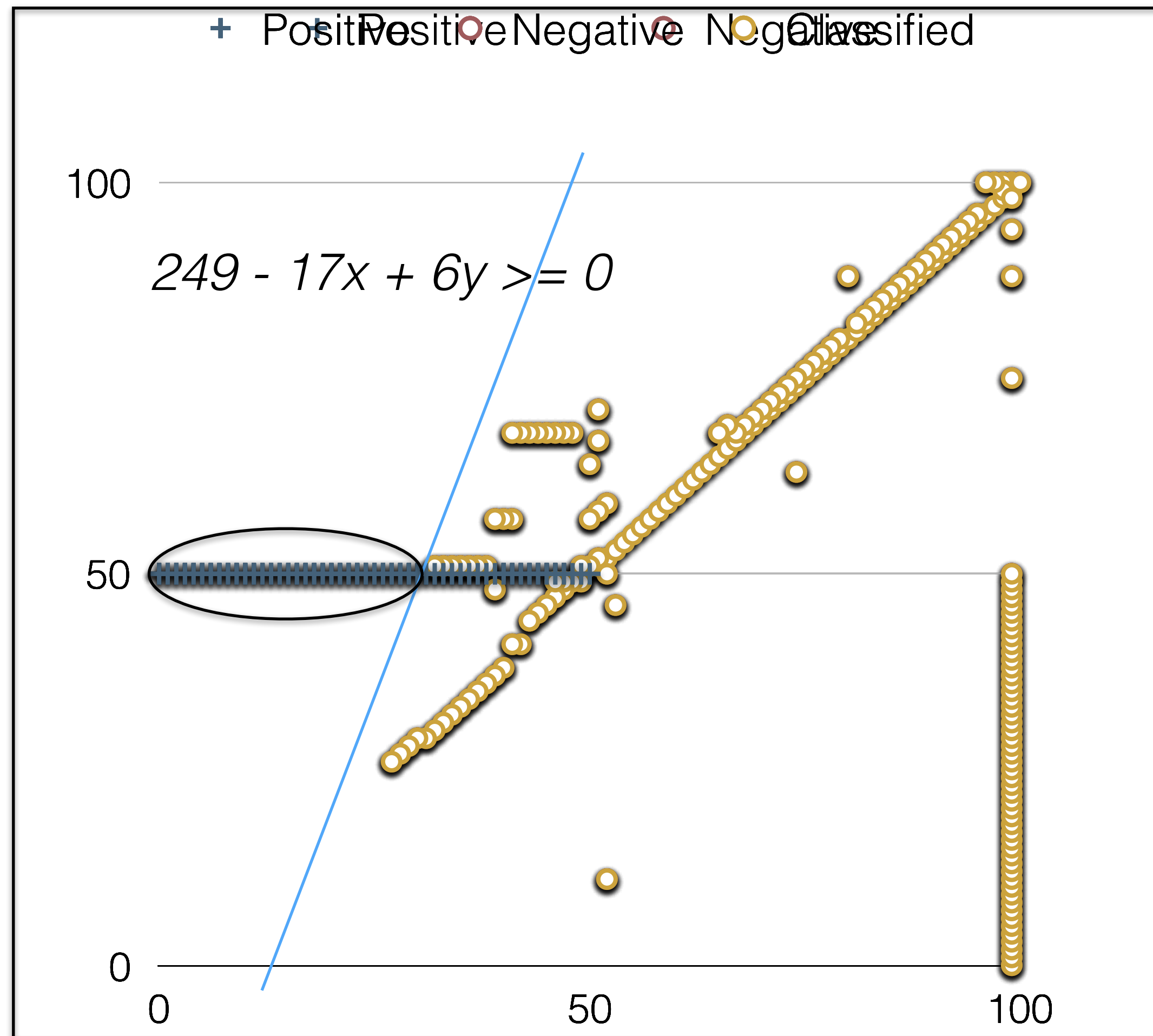
# Combating Over- and Under-fitting

- Can we generalize the learned invariant solely using the data from which the linear classifiers are produced?

Vision:

A simple invariant is more likely to generalize.

Goal: Design a learner to learn simple invariants



$-50 + y \geq 0$   
 $50 - y \geq 0$   
 $-x + y \geq 0$   
 $x - y \geq 0$   
 ~~$56 - x \geq 0$~~   
 ~~$51 - x \geq 0$~~   
 ~~$249 - 17x + 6y \geq 0$~~

*Learned classifiers from linear classification*

$$p(x, y) \equiv -50 + y \geq 0 \wedge 50 - y \geq 0 \wedge -x + y \geq 0 \vee -50 + y \geq 0 \wedge \neg(50 - y \geq 0) \wedge x - y \geq 0 \wedge -x + y \geq 0$$

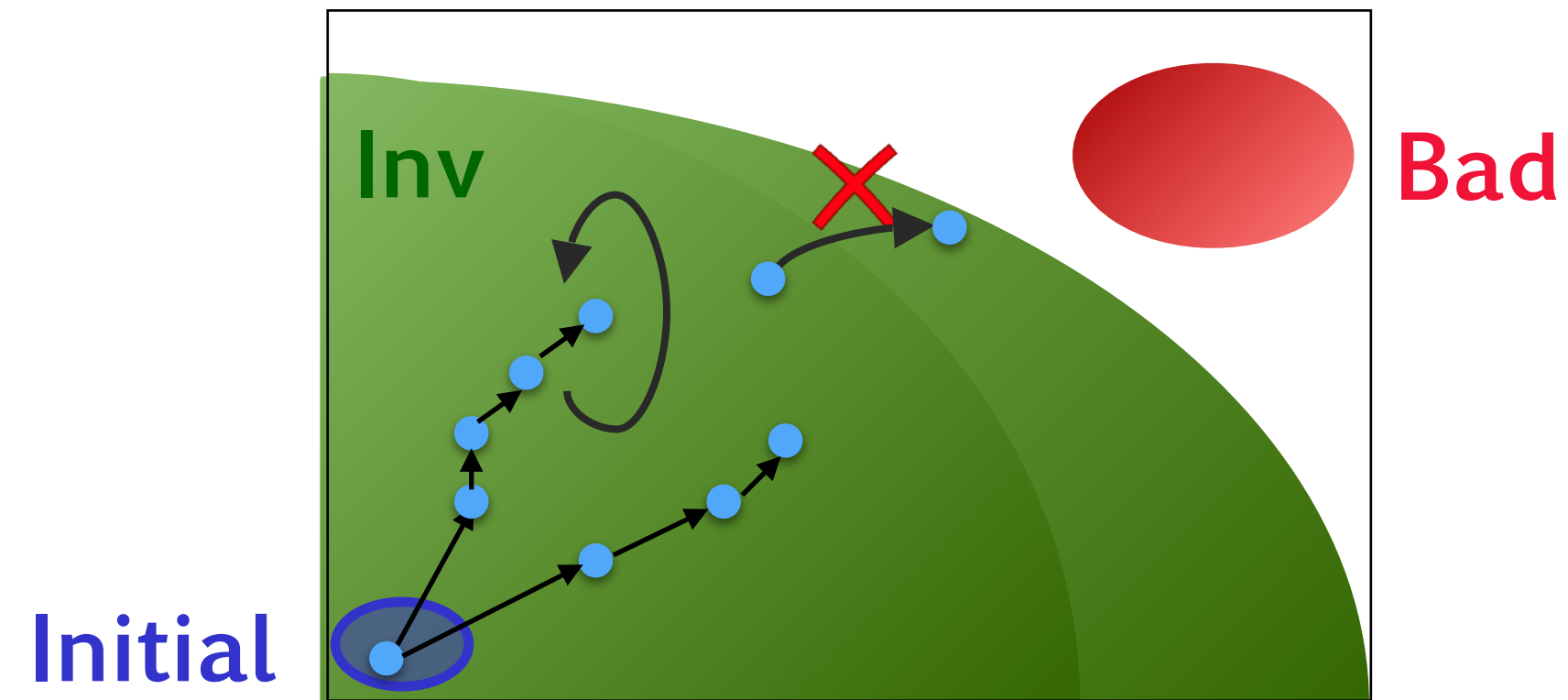
Z3



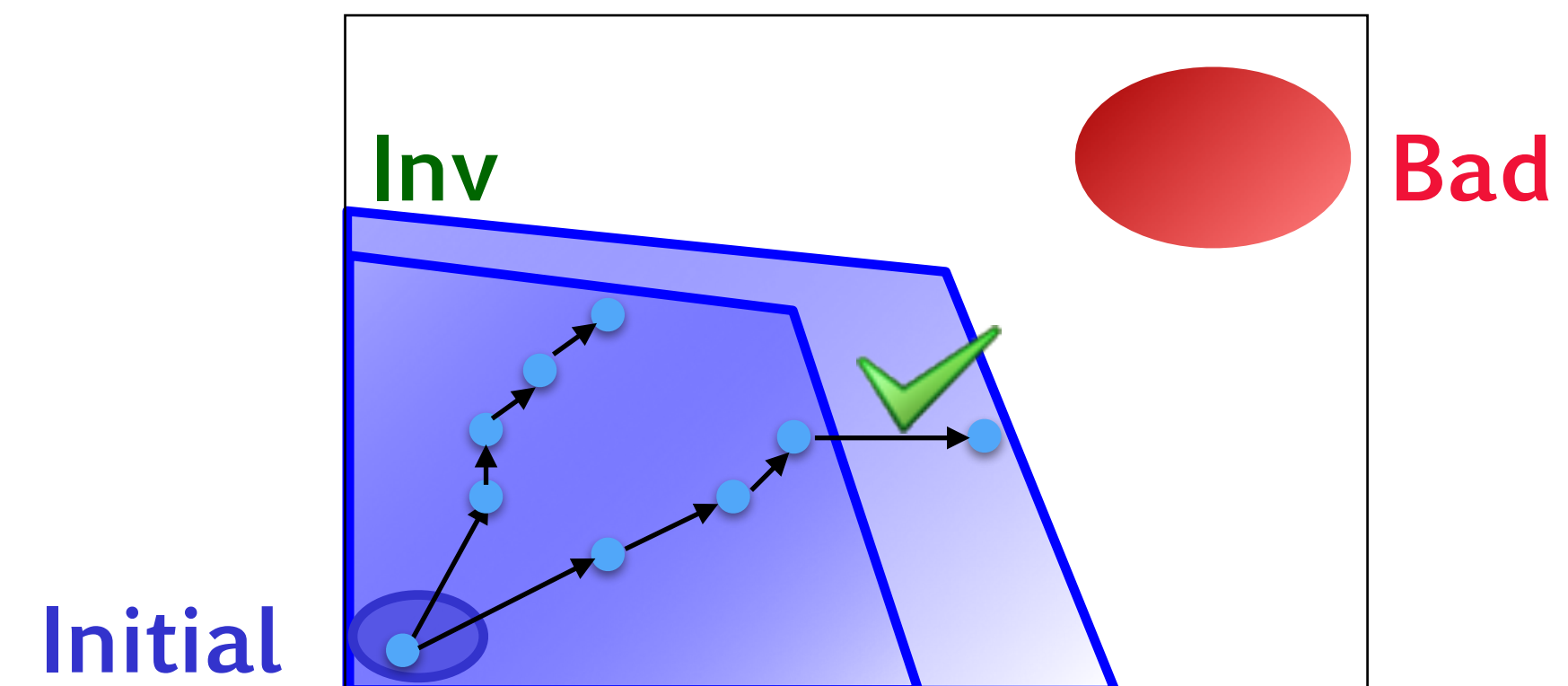
# Counterexample guided sampling by Z3

$$Tr(X, X') \wedge Inv[X] \rightarrow Inv[X']$$

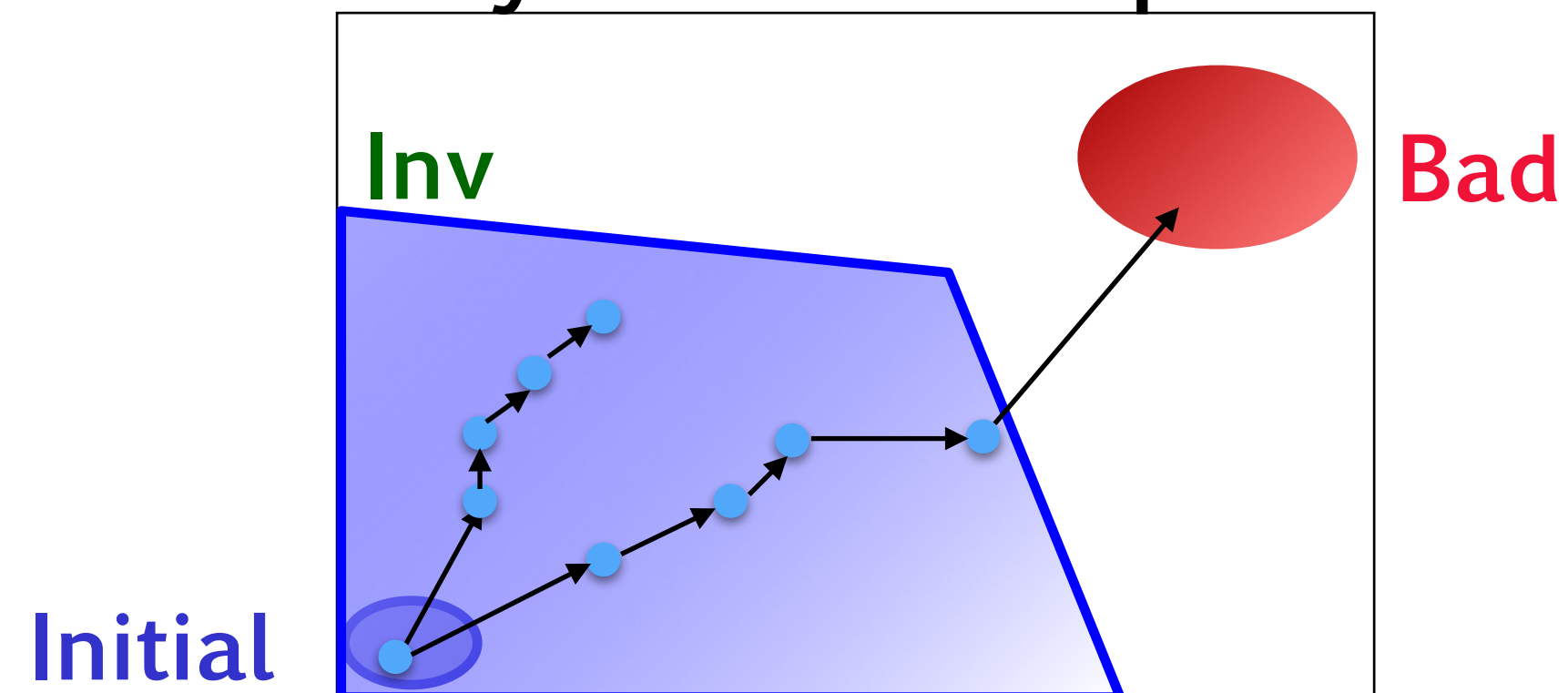
System State Space



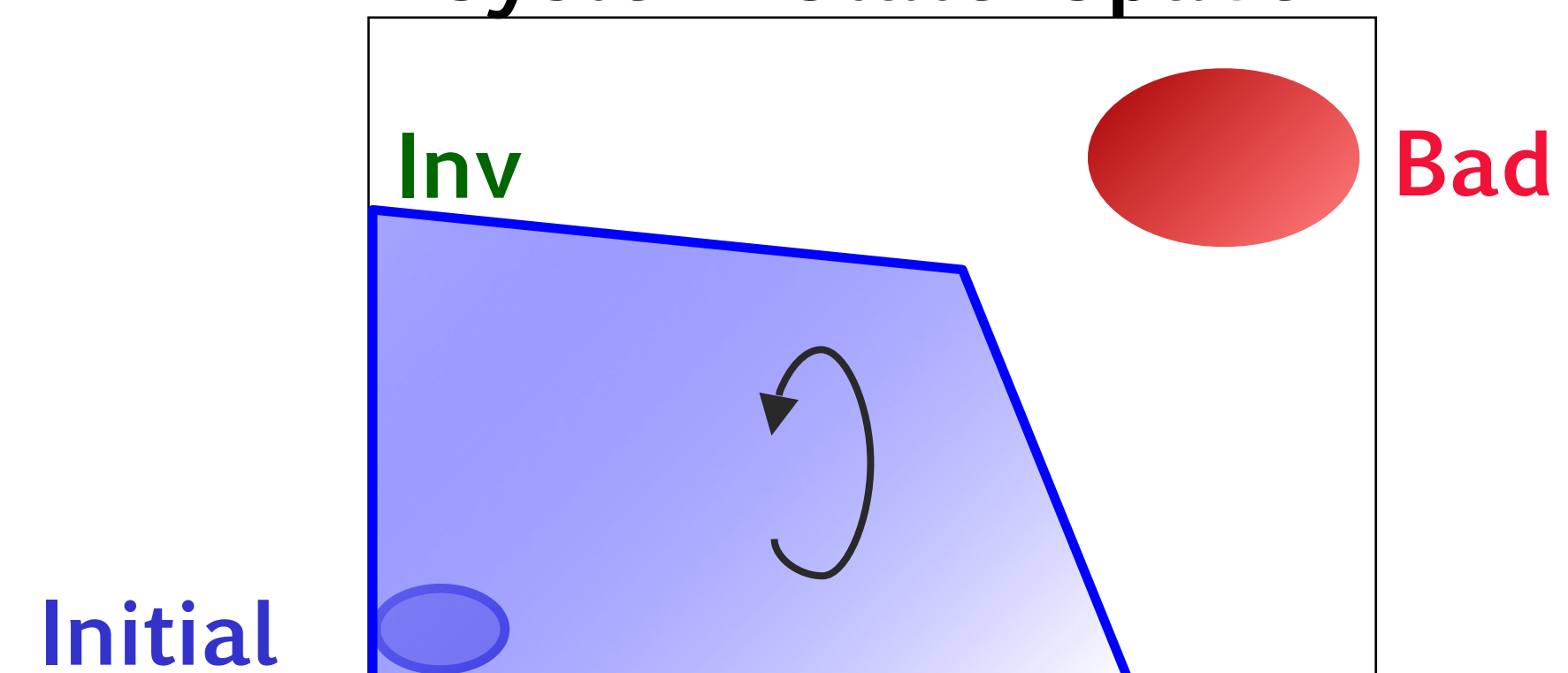
~~Strong Inductive Invariant~~  
System State Space



Find a true counterexample  
System State Space



Find an inductive invariant  
System State Space



# Counterexample guided sampling by Z3

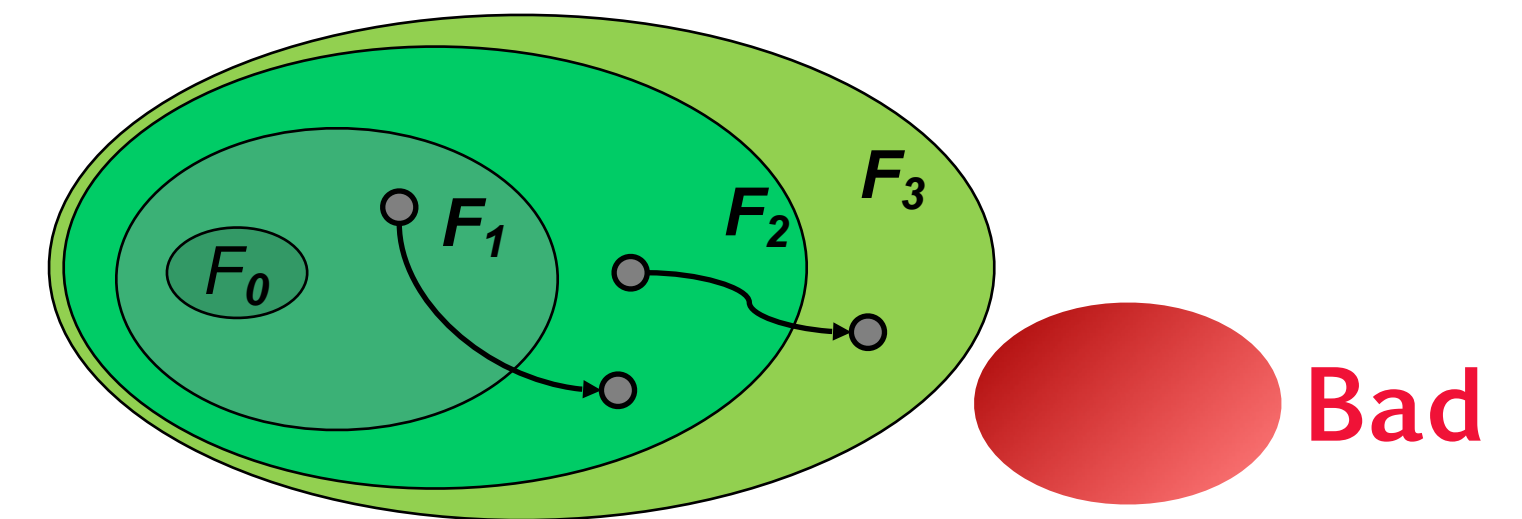
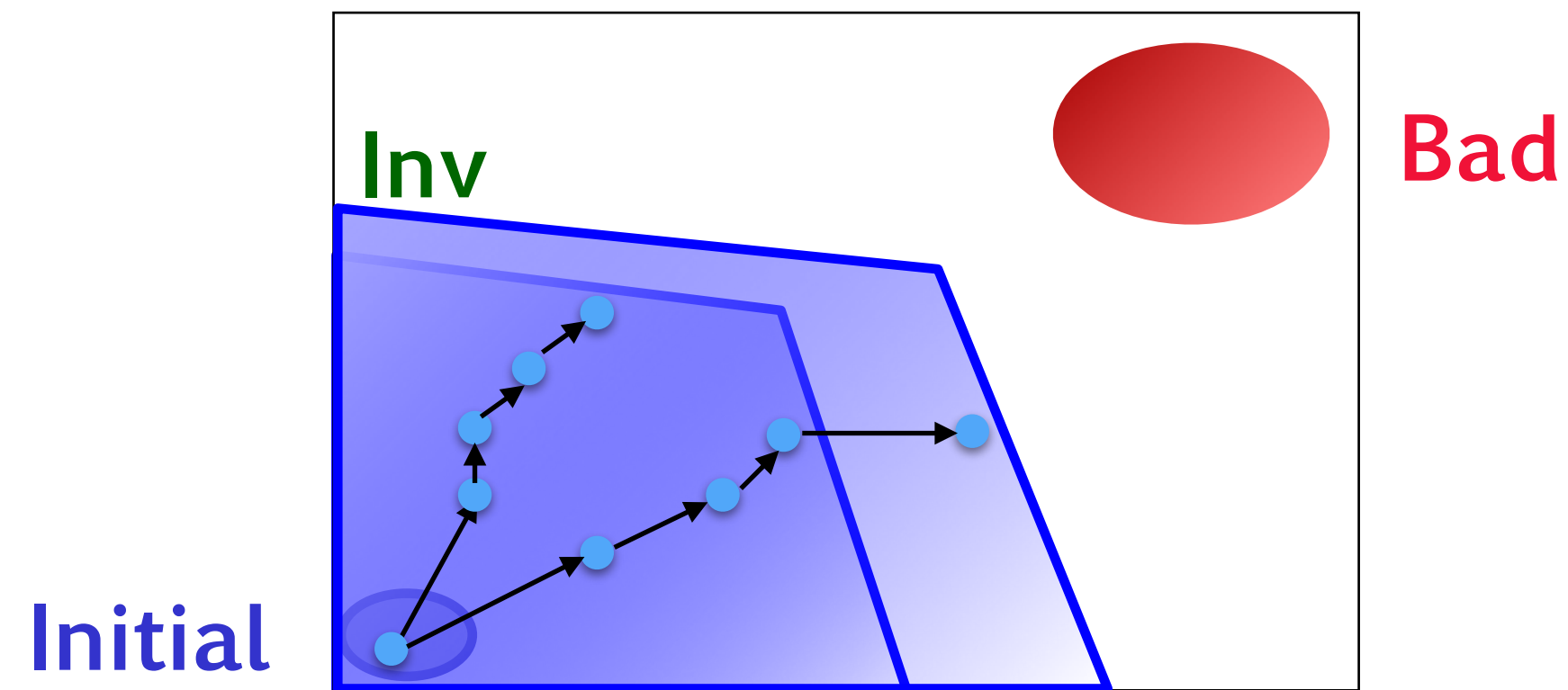
*SynthHorn*

vs

*Spacer, GPDR, Duality*

System State Space

System State Space



Generalizing from  
*bounded positive samples*  
using *Machine Learning*

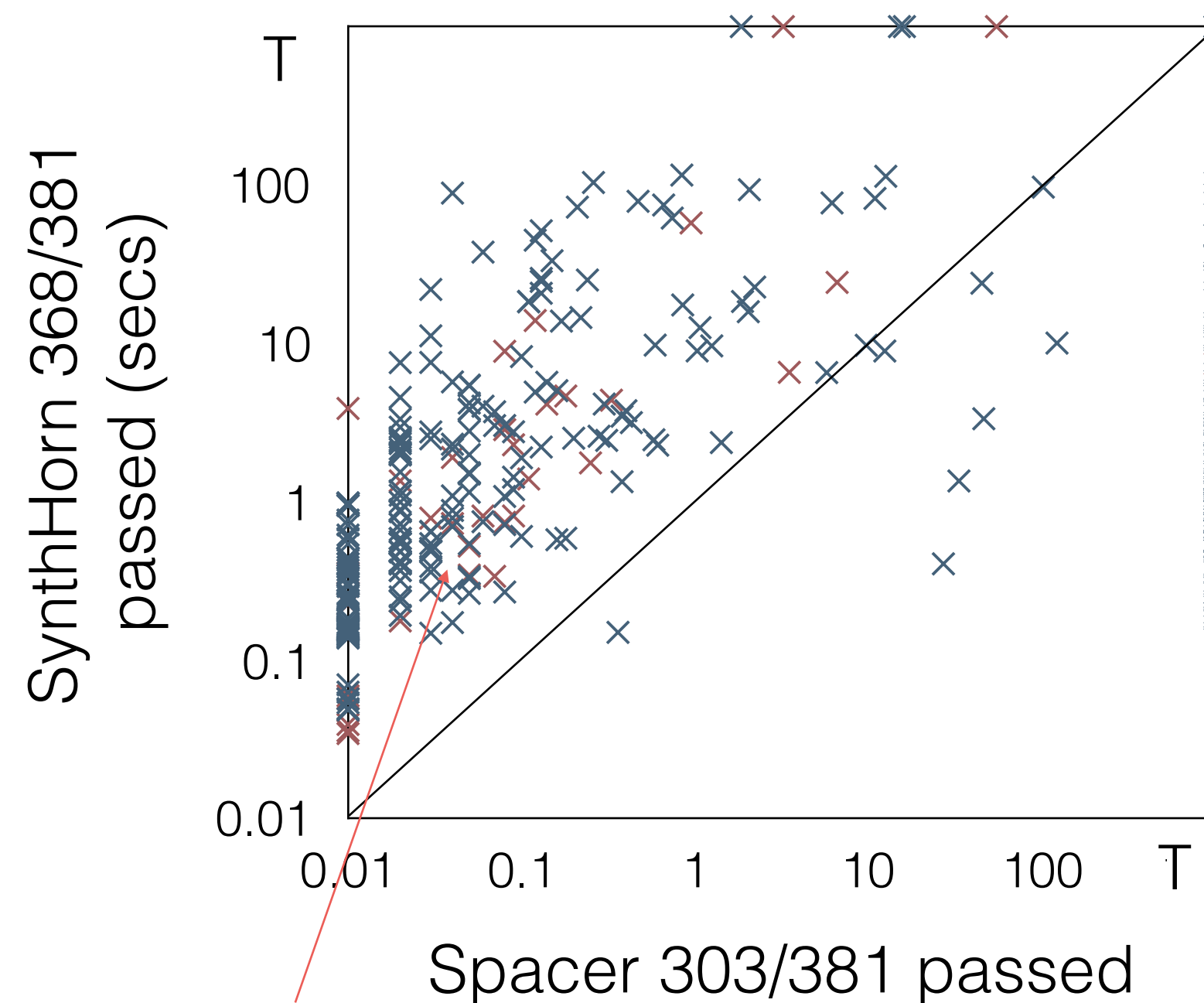
Generalizing from  
*bounded unrolling of CHCs*  
using *Interpolation*



# Experimental Results

- Collected 381 loop and recursive programs with

× CHC sat × CHC unsat  
Comparison with Spacer



Spacer is faster  
SynthHorn can verify more programs

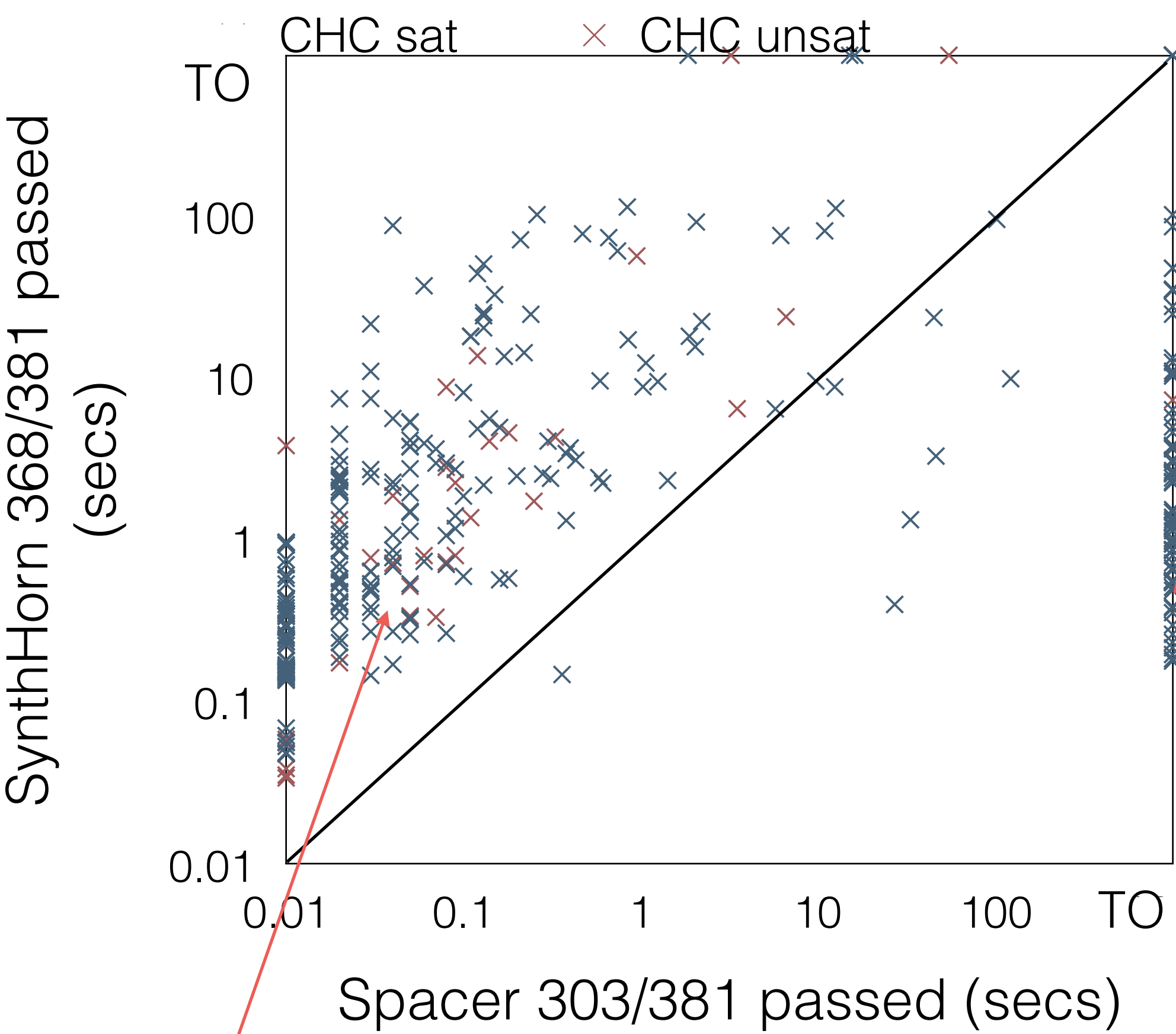
Comparison with  
GPDR, Spacer, Duality

Total	381
Z3-GPDR	300
Z3-Spacer	303
Z3-Duality	309
SynthHorn	368

# Experimental Results

- Collected 381 loop and recursive programs with intricate invariants

## Comparison with Spacer

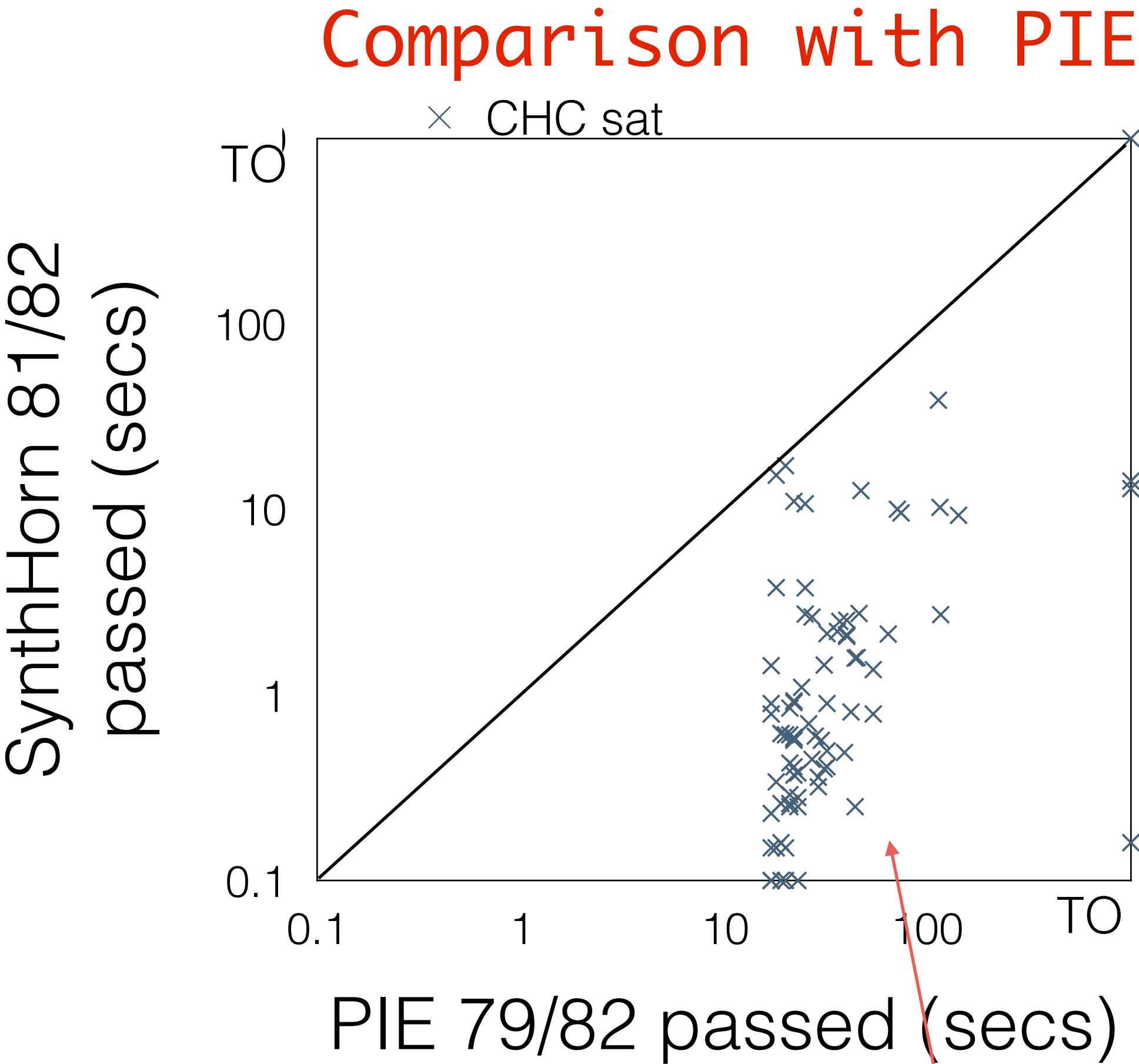


## Comparison with GPDR, Spacer, Duality

Total	381
Z3-GPDR	300
Z3-Spacer	303
Z3-Duality	309
SynthHorn	368

Spacer is faster      SynthHorn can verify more programs

# Experimental Results



*A data-driven invariant inference tool using enumeration-based search (PLDI'16)*

Machine learning leads to order-of-magnitude faster performance than enumeration

# Conclusions

## Learning in the Large (MUSE)

- Trustworthiness through statistical guarantees drawn from a large corpus
- Embrace generality (learning) to discover properties (synthesis)
- Learning to drive semantic search and model generation
- Feature discovery to guide abstraction and refinement

## Learning in the Small (SynthHorn)

- (Small) sample generation from theorem provers
- Tame generality (learning) to realize safety (verification)
- Learning to discover classifiers
- Feature discovery to simplify invariants